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UNITED STATES DISTRICT COURT
1
                   FOR THE EASTERN DISTRICT OF
                        SHERMAN DIVISION
2
   TIMOTHY JACKSON,
3
        Plaintiff.
4
                                   CASE NO. 4:21-CV-00033-ALM
5
   VS.
   LAURA WRIGHT, et al.,
6
        Defendants.
7
                           *****
               VIDEOTAPED ZOOM ORAL DEPOSITION OF
9
                   JOHN TOARU ISHIYAMA. Ph.D.
10
                       September 27, 2024
11
12
                       (Reported Remotely)
13
        VIDEOTAPED ORAL DEPOSITION OF JOHN TOARU ISHIYAMA.
14
   Ph.D., produced as a witness at the instance of the
15
   Plaintiff and duly sworn, was taken in the above-styled
16
   and -numbered cause on the 27th day of September, 2024,
17
   from 9:13 a.m. to 12:35 p.m., before Kim D. Carrell,
18
   Certified Shorthand Reporter in and for the State of
   Texas, reported remotely by computerized stenotype
20
   machine at the University of North Texas System,
21
   801 North Texas Boulevard, Gateway Suite #308, Denton,
22
   Texas, pursuant to the Federal Rules of Civil Procedure
23
   and the provisions stated on the record or attached
24
25
   hereto.
```

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20	
21	
22	
23	
24	
25	

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1		AGREEMENTS			
2	DEPOSITION	N OF: JOHN TOARU ISHIYAMA, Ph.D.			
3	DATE: Sep	ptember 27, 2024			
4	CAUSE NO.	4:21-CV-00033-ALM			
5	THIS DEPOS	SITION SHALL BE TAKEN PURSUANT TO:			
6	(X)	Notice			
7	}	Agreement Court Order Subpoena Rules of Federal Civil Procedure			
8	\x\	Rules of Federal Civil Procedure			
9					
10	ORIGINAL	ΤΟ:			
11	(_x)	Witness Witness's attorney (Ms. Quimby)			
12	}^	Witness Witness's attorney (Ms. Quimby) Producing attorney Signature waived			
13	()	Signature warved			
14	NUMBER OF	DAYS FOR SIGNATURE			
15	(_x)	20 days 30 days Other:			
16	(1)	Other:			
17	MISCELLAN	FOUS:			
18	()	Any objection made by one party good for			
19	()	all parties.			
20	(X)	An unsigned copy may be used at any trial, hearing, or arbitration proceedings.			
21		ζ, τ τ τ τ μ τ τ τ μ			
22					
23					
24					
25					

1	PROCEEDINGS
2	THE VIDEOGRAPHER: Today is September
3	27th, 2024. The time is 9:13 a.m. We're on the record.
4	(Witness Sworn)
5	MR. ALLEN: Shall the attorneys state
6	their name for the record?
7	THE REPORTER: Yes.
8	MR. ALLEN: My name is Michael Thad
9	Allen for the Plaintiff, Timothy Jackson.
10	MS. QUIMBY: My name is Mary Quimby.
11	I'm an Assistant Attorney General with the Texas Attorney
12	General's Office. I represent the Defendants in this
13	matter and Dr. Ishiyama in this deposition.
14	MR. STOWERS: I'm Renaldo Stowers, Deputy
15	General Counsel for the University of North Texas System.
16	MR. ALLEN: I believe in attendance is
17	also my client, Timothy Jackson. At least I believe I
18	saw him pop into the Zoom.
19	JOHN TOARU ISHIYAMA, Ph.D.,
20	having been first duly sworn, testified as follows:
21	DIRECT EXAMINATION
22	BY MR. ALLEN:
23	Q. Good morning, Professor Ishiyama.
24	A. Good morning.
25	Q. Can you please state your full name for the

```
record.
1
             John Toaru Ishiyama.
2
        Α.
             Can you spell that just for the record, please.
3
        Q.
             J-O-H-N, middle name is Toaru, T-O-A-R-U,
4
        Α.
   last name, Ishiyama, I-S-H-I-Y-A-M-A.
5
                    MR. ALLEN:
                                Thank you.
                                            From time to
6
7
   time, I will be introducing exhibits, and I don't know
   where the share button -- there, it must be down here.
   There, it is. I'm going to mark for the record
   Exhibit 1.
10
                      (Deposition Exhibit Number 1 marked.)
11
12
             Can you see this exhibit clearly, Professor
        Q.
   Ishiyama?
13
             Yes, the top part.
14
        Α.
             Okay. And I wanted to introduce some of the
15
        Q.
   rules of the road for a deposition.
16
              One of them is just exactly what you just did.
17
18
   If you need, at any point, to examine an exhibit, a
19
   portion that I don't have on screen, given that this is
   a virtual deposition, just ask. Obviously, no one wants
20
   you to be answering questions about a deposition exhibit
21
   that you can't see.
22
               In this case, I'll scroll down.
                                                This is the
23
   entirety of the text on page 1. And you'll see on page 2
24
```

are some signature blocks and so forth.

Have you had a chance to examine this exhibit? 1 Not closely. 2 Α. Would you like some additional time to examine 3 Ο. the exhibit? 4 5 Could you scroll down a bit? A bit further? Α. Yes, I've reviewed it. 6 7 And I'll represent to you that there is no Ο. further text or pages to this document. Is it accurate to say that you appeared for 9 10 today's deposition in response to this document, Exhibit 1? 11 12 Yes. Α. All right. I don't have any further questions 13 0. to ask you about that exhibit. 14 Some other rules of the road, so to speak. 15 If, from time to time, you don't understand a question 16 that I've asked, please feel free to interrupt me at 17 18 any time. Ask for clarification. That's perfectly 19 acceptable. Is that understood? Yes. 20 Α. Likewise, if you do not ask for clarification 21 Ο. of a question, I will understand that you are 22 understanding the question as asked. Is that clear? 23 Yes. 24 Α. Is there anything that would interfere with 25 Ο.

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1 your ability to answer questions truthfully today,
2 Dr. Ishiyama?
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- $_{\rm A.}$ No. But I would say that the audio is not really great on this end.
- Q. All right. So if, at any time, you can't hear me or need me to speak up, I would ask you to just simply interrupt me and tell me so. Can you do that for me?
 - A. Yes.

- Q. Another thing we have to do during a deposition is there are many verbal or nonverbal cues that we use in everyday conversation that I want us to avoid in the deposition, because it prevents the court reporter from making a clean record. So if you could please answer audibly things like yes or no instead of um-hum or nodding your head, that is necessary for the court reporter. Is that clear?
 - A. Yes.
- Mary Quimby, may object. That does not relieve you of the obligation to answer a question that is before you, with some few exceptions, which will be very clear. For instance, attorney-client privilege.
- In those cases, I have no doubt that Attorney Quimbly -- Quimby, excuse me, will instruct you not to answer. So like I said, it will be very clear.

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Otherwise, you are required to answer the questions as
put to you notwithstanding any objection that your
attorney may make. Is that also clear?
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Yes. Α.

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- Also, this was sort of something that has already happened, I think. If, at any time, you need a break, please feel free to ask. We can break in the deposition at any time. However, I would ask that you answer any question that is before you. Is that also clear?
- 11 Yes. Α.
- Thank you. Have you ever been deposed 12 Okay. Q. before, Professor Ishiyama? 13
 - Yes. Α.
- When were you deposed before? 15 Q.
- When I was 17 years old. It involved a civil 16 Α. I was involved in a car accident. 17
 - Is it fair to say that was an ordinary tort? Ο. MS. QUIMBY: Form.
 - I'm not sure what you mean by tort. Α.
 - Okay. Were you the plaintiff? 0.
 - No. Α.
- Were you a witness? 23 Q.
- No. 24 Α.
- 25 What was your role in that litigation? Q.

The plaintiff was suing my family for an 1 Α. accident that happened. But we -- yes, that was the 2 It was found in our favor, though. 3 deposition. Besides this car accident litigation 4 Okay. Ο. when you were 17 years old, have you been in any other 5 depositions? 6 7 Α. No. Can you explain what you have done to prepare 8 Q. for today's deposition? 9 I have been asked to reread the report we 10 submitted. I've done so. 11 12 Are you referring to the November 25, 2020 Q. Ad Hoc Panel Report? 13 14 Yes. Α. I believe we'll get to that today. 15 Q. Were there any other documents that you 16 consulted in preparation for your deposition today? 17 No. 18 Α. Did you talk to anyone in preparation for 19 Q. your deposition today? 20 21 The attorneys and I spoke a few days ago Α. prior to this, but that's it. 22 Okay. And I was going to say, I'm not -- I'm 23 going to ask you what you spoke to your attorneys about. 24

Um-hum.

Α.

- And you said that's it. So I assume you have 1 Q. not spoken to any other person in preparation for your 2 deposition? 3 No. I have not. 4 Α. Did you talk to anyone else about your 5 Ο. deposition? 6 7 Α. No, I have not. Approximately how long did you meet with Okay. 8 Q.
 - A. I don't actually recall the actual amount of time. It was on a Zoom or Teams. I think it was a couple of hours.
- 13 Q. Okay. Thank you. I want to transition now to 14 talk about your career and publications and things that 15 have made up the substance of your academic career.
 - A. Um-hum.

your attorneys?

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- Q. Can you briefly describe your educational career? I mean, the degrees you've earned, the institutions you've earned them at, and so forth, starting with your undergraduate degree?
- A. Um-hum. I have a BA in political science and history from Bowling Green State University, a Master's degree in Russian history from the University of Michigan, and a Ph.D. in political science from Michigan State University.

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When was the -- I think you may have said, but
1
        Q.
   can you remind me when you earned your Bachelor's of --
2
   did you say Bachelor's of Arts in Bowling Green?
3
             Yes, that would be 1982. My Master's degree
4
        Α.
   from the University of Michigan was in 1985. And my
5
   Ph.D. was completed in 1992 from Michigan State
6
7
   University.
             Did you work between your completion of the
8
        Q.
   Bachelor's degree at Bowling Green and your Master's
10
   degree --
             No.
11
        Α.
12
              -- before you entered that program?
        Q.
             I worked simultaneously.
13
        Α.
             What was your employment at that time?
14
        Q.
             I was a chef.
15
        Α.
             Now, there seems to be very little time between
16
        Ο.
   your Master's degree and the completion of your Ph.D.
17
18
   Did you work between those two degrees?
19
             Only simultaneously part-time.
                                               But actually,
   my Master's was 1985. My Ph.D. was 1992. So seven years
20
21
   passed.
              Also, I did work as a professor at Truman
22
   State University between 1990 and 1992. I had achieved
23
   the all but dissertation status, and they had hired me.
24
```

And then subsequent to my finishing, they hired me

full-time.

- Q. So that's going to be a good transition to the next question I was going to ask. But before I do, are there any other credentials or degrees you've earned along the way since 1992?
 - A. No.
- Q. And I was going to ask if you could describe your professional career in academia: What jobs you've held, what capacity, from, it looks like, 1990, when you started working for Truman University to the present.
- A. Yes. I was -- my first 18 years in my career, from 1990 to 2008, I was an assistant, associate, and full professor at Truman State University in 2008. I came to the University of North Texas as a full professor and have been here since.
- Q. And do I understand you are a political scientist also at the University of North Texas?
 - A. Yes.
- $\ensuremath{ \mathbb{Q}}.$ What is your title at the University of North Texas today?
- A. My official title is University Distinguished Research Professor and Chair of the Department of Political Science.
- of Political Science?

1 A. In 2022. 2 O. So that

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- $\ \ \, \bigcirc$. So that was after the -- what I'll just call the Schenker controversy that we are going to talk about today. Would that be correct?
 - A. Yes.
- Q. In 2020, what was your position at the University of North Texas? Were you a distinguished university research professor at that time?
 - A. Yes, I was.
 - Q. When were you distinguished with that title?
- 11 A. I do not recall the exact year, but it's been 12 quite some time. I believe it was 2012, but I'm not 13 entirely sure about that date. It is on my curriculum 14 vitae, though.
 - Q. I understand. Is it safe to say you've been a distinguished research professor for over ten years?
 - A. Yes, I think some of that would be accurate.
 - Q. Were you the chair of the department of poli-sci before 2022 in any capacity at any time?
 - A. No.
 - Q. Okay. Have you had any other roles in the administration at the University of North Texas?
- 23 A. Not at the university level. In my department,
 24 I was a graduate -- the director of
 25 graduate studies from 2019 until 2022.

Have you worked with many graduate students 1 Q. in your capacity as a full professor? 2 Yes. 3 Α. How many graduate students have you produced in 4 terms of students who completed their Ph.D.s with you as 5 their primary dissertation advisor? 6 7 I have 14 completed Ph.D. dissertations. Α. currently have six who I chair their committees. served on over 30 committees in some capacity. In terms of chairing the dissertations, it's 14. 10 And have you been successful placing the Ph.D. 11 Ο. 12 students that have completed their degrees under your mentorship in jobs? 13 Yes. All but one who's currently on the 14 market. 15 Very good. Within -- not to the exact number, 16 Ο. but within reason, how many publications do you have to 17 your credit, Professor Ishiyama? 18 Well, I have 10 books, 171 peer-reviewed 19 Α. journal articles, and 39 peer-reviewed book chapters. 20 21 Have you ever published articles that are not Ο. peer reviewed? 22 I mean, I would not call them articles. 23 Α. There have been research reports. There have been 24 summaries of conference proceedings, but I don't call 25

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those articles.
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- $\ \ \, \bigcirc$. I'm sure you've published numerous book reviews as well, right?
 - A. Yes, probably close to 70.
- Q. So of the 171 articles you mentioned, all of those are peer reviewed in academic journals?
 - A. Yes, they are.
- Q. Have you been the editor of -- edited volumes?
 A book essentially, edited volume?
 - A. Yes, I edited four edited volumes.
- 11 Q. And have you served as the editor of an academic journal?
 - A. Yes, twice.
- 14 Q. Can you state the name of the journals you have 15 served as editor?
 - A. I was editor-in-chief of the American Political Science Review, which is the leading journal of our discipline, the most cited in the world, from 2012 until 2016.

From 2004 until 2012, I was editor-in-chief and founding editor of the Journal of Political Science Education, which is the second of the suite of journals authored by the American Political Science Association. I was also founding editor of that journal.

Q. So I think you said you started in 2004, so

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it was founded in 2004?
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- A. Yes, it was.
- Q. Okay. Well, let's start with the American Political Science Review. Did I get that right?
 - A. That's correct.
- Q. While you were the editor, did you ever publish any articles that were not peer reviewed?
 - A. Never, no. And --
- 11 A. No. There was a time the APSR published book 12 reviews, but they stopped doing that in 2011. But in the 13 APSR, there were no non peer-reviewed articles.
 - Q. And what about the political science education journal that you mentioned?
 - A. No.
 - Q. From 2004 to 2012, did you publish any articles as the editor-in-chief, which were not peer reviewed?
- 19 A. No, none.
 - Q. Okay. So as you know, we are here to discuss an academic journal that was published by the University of North Texas Press called Journal of Schenkerian Studies. And I wanted to ask you when you learned that there was a controversy surrounding the Journal of Schenkerian Studies.

MS. QUIMBY: Objection, form. 1 Only after Provost Cowley told us. 2 been unaware before that. 3 Have you had any collegial relationships in the 4 Ο. College of Music? 5 No. 6 Α. And you didn't hear about that controversy from 7 Ο. any media source? No. 9 Α. Approximately when did you hear from Provost 10 Ο. Cowley that there was a controversy surrounding the 11 Journal of Schenkerian Studies? 12 In August of 2020. 13 Α. And can you summarize your understanding at 14 Ο. that time of what the controversy was about? 15 MS. QUIMBY: Objection, form. 16 17 I actually am not really sure what the Α. controversy was about. I had heard there was some 18 19 debate at their conference, there was some controversy involving a scholar who gave a talk, and then there was 20 21 the Journal had published something that was criticized heavily. But that's about all I knew. I don't make it 22 a point of following these kinds of debates in other 23 disciplines. 24 Q. I understand. How did Professor Cowley reach 25

out to you?

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A. Provost Cowley sent a message. I don't now recall if it was a phone message or an email, but asking if we would serve on some committee. I was unsure. And she would give us details once we met. But I don't exactly recall how she communicated that, if it were -- I believe it was an email, but I'm not entirely sure.

(Deposition Exhibit Number 2 marked.)

MR. ALLEN: Okay. I'm going to mark for the record Exhibit 2. And I've just publish that to the website here.

- Q. Do you see that exhibit?
- A. Yes.

the cc line.

MR. ALLEN: And I have to -- give me a sec here. I'm trying to mark these as we go, so that I do not lose track.

So this is an email from Jennifer Cowley, Exhibit 2, dated August 3rd, 2020. It's to you, Professor Ishiyama, as well as another recipient on

Does this help refresh your memory of when you first learned about the committee you would serve on?

- A. Yes. As I said, August 2020. And I wouldn't definitely entirely recall, but it was an email, yes.
 - Q. And so this is the email where Provost Cowley

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first reached out to you? Yes. Α. Okay. And after Professor Cowley reached out Q. to you and the committee was formed, what was your -but before you starting doing your work, what was your understanding of your task? Α. Our understanding, after meeting a few -some days after this email, was that we were to review the processes, editorial processes, of the Journal of Schenkerian Studies to see whether it comported with the recommended best practices in journal publishing. All right. Was that -- did Provost Cowley Q. refer to that as the charge of the committee? Yes, she did. Α. Okay. And how did you -- how did she Q. communicate the charge of the committee to you? She met with us in a face-to-face meeting, Α. and that is where she gave the committee the charge. Q. Was that charge summarized or committed to writing in any way? Let me strike that question. Professor Ishiyama, can you explain if that charge was committed to writing?

written a follow-up to tell us what the charge was. And

it was, again, to review the processes employed with the

I think there was -- she had

I believe it was.

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Journal and also specifically with Volume 12, if I
1
   recall.
2
              Okay. Now, did she -- let me see if I
3
        Q.
   understood you correctly. Did you just -- did you
4
   intend to say that she communicated to you the
5
   processes that had been used by the Journal --
6
7
              No.
        Α.
              -- or her understanding of them?
8
        Q.
              No. We --
9
        Α.
              I must have misunderstood.
10
        Q.
              She wanted a --
11
        Α.
12
              Can you state for the record -- yeah, go ahead.
        Q.
           That was the subject of your review?
13
   I see.
              Yes.
14
        Α.
              Okay. And you nodded. Can you just state for
15
        Q.
   the record your answer?
16
17
                    MR. ALLEN:
                                I'm sorry. Did people hear
18
   him or is it -- we may not be able to hear you.
19
        Α.
              The answer is yes.
                    MR. ALLEN: Okay. Thank you, Professor
20
21
   Ishiyama.
              So I'm going to mark for the record Exhibit 3.
22
                      (Deposition Exhibit Number 3 marked.)
23
              I'm just going to state for the record,
24
        Q.
25
   Professor Ishiyama, this has some text along the top
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Those are stamps that are placed on the document
   line.
1
   by the United States District Court for the Eastern
2
   District of Texas.
                        They were not added by either your
3
   counsel or by me. And this is -- this has to do with
4
   the way the document has already been used in court.
5
              But just in interest of fairness, I just
6
7
   wanted to show you that, so you didn't think there was
   something that I was hiding from you.
                                           Is that fair?
              Here is the title page. Is this the Ad Hoc
9
   Review Panel Report of November 25th, 2020, that you
10
   mentioned in the introductory phase of our deposition?
11
12
             Yes.
        Α.
             Were you the author of this report?
13
        Q.
                    MS. QUIMBY:
                                 I think there's something --
14
                    MR. ALLEN:
                                I cannot hear him.
15
              Professor Ishiyama, I don't know what's going
16
   on, but I can't hear you.
17
18
                    THE WITNESS:
                                  Shall I repeat my answer
   then?
19
20
                    MR. ALLEN:
                                Now, I can hear you.
21
             Yes.
                   Can you repeat your answer for the
        0.
   record?
22
             This doc -- and the answer was no.
23
        Α.
   document was collectively written by the committee as a
24
   whole. We all contributed to it. I don't think it's
25
```

accurate to say I'm the author.

- $\ \ \, \bigcirc$. Okay. Were you the -- did you draft the first rough draft?
- A. No, I actually did not. Matthew Truelove took the first draft, although it evolved over time because the committee reviewed it again and again and again, so it's quite different from the first draft. But Matthew Lemberger-Truelove took the first draft.
- Q. Okay. What was your role on the committee? Did you have a specific title or a specific role?
- A. No. In fact, I would say that I had asked the provost not to make me chair, because that would be a condition of my service. I had no official role on the committee other than being a part of it.
- Q. Who was the chair of the committee, if there was one?
 - A. There was no chair of the committee.
- Q. Let me -- I'm just going to skip through the document right now. We'll have a chance to come back to it. I'm not going to ask you to review it in its entirety at this time. I want to skip to some of the exhibits that were included in the Ad Hoc Panel Report, Exhibit 3, that are attached to the end. Here's the exhibits designation page. Do you remember that being a part of the Ad Hoc Panel Report?

Yes, it was attached after we had completed and 1 Α. submitted the report. 2 And then the first exhibit is this email. 3 Do Q. you see that on screen, Professor Ishiyama? 4 5 Yes. It's a bit small, but yes, I do see it. Α. Would it help me -- excuse me. Would it help 6 Q. 7 you if I expanded it a little bit? Yes. 8 Α. Is that easier to read? 9 Q. 10 Yes. Α. So I just had a couple of brief questions. 11 0. 12 You had mentioned there was a follow-up email concerning the charge to the committee. You believe that the charge 13 was committed to writing in some form. And my question 14 for you, is this the email that committed the charge to 15 the panel in writing? 16 17 MS. QUIMBY: Objection, form. 18 I am not -- if this was the charge, but it Α. 19 certainly includes the charge of what the committee was supposed to do. 20 21 Where does it include the charge? 0. The University of -- after -- in this 22 Α. paragraph, I think that begins with, "The University has 23 appointed a five-member multidisciplinary panel. 24

panel members, who are outside the College of Music,

```
will examine objectively the processes followed in the
1
   conception and production of volume 12 of the Journal of
2
   Schenkerian Studies.
                         The panel will seek to understand
3
   whether the standards of best practice in scholarly
4
   publication were observed and will recommend strategy
5
   to improve the editorial processes where warranted."
6
7
              That would be the charge.
             Is it your testimony today that -- I'm
8
        Q.
   highlighting what I believe you just read.
                                                Did I
   highlight that correctly?
10
                   And at the end of it, it said that a
             Yes.
11
        Α.
12
   report -- that we should submit a report, and the report
   will be made public. That is, as I understand it, being
13
   the charge to the committee.
14
             These two paragraphs, one above and one below,
15
        Ο.
   that are also in italics, were those also part of the
16
17
   charge?
18
```

- ${\tt A.}$ I do not recall that. I -- we focused exclusively on the paragraph that said what the committee or the panel would be doing.
- Q. Uh-huh. The -- and I should have asked this first off. You do remember receiving this email on August 5th, 2020, correct?
 - A. Yes.

20

21

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23

24

25

Q. What was your understanding of what this

```
email meant in the paragraph that starts off, "The
1
   University of North Texas is committed to academic
2
   freedom and the responsibility that goes along with
   this freedom."
4
5
             I don't actually -- we didn't interpret that.
   I don't -- I'm not the one who wrote it, so I guess
6
7
   Provost Cowley would be the better person to answer that.
   But we were focused on the second paragraph.
                                                  That was
   the charge. The entire focus of our committee was on
10
   the charge.
             So you didn't consider this part of the
11
        Ο.
12
   obligations or duties of the ad hoc panel, this first
   sentence that I just read.
13
              "The University of North Texas is committed to
14
   academic freedom and the responsibility that goes along
15
   with this freedom."
16
17
             That was not what the committee was charged
        Α.
   to determine.
18
             Okay. And does that go for the second sentence
19
        Q.
   here in that paragraph?
20
              "This dedication is consistent with and
21
   not in opposition to our commitment to diversity and
22
```

The committee did not consider that

inclusion into the highest standards of scholarship

and professional ethics."

No.

Α.

23

24

```
because that was the statement made by the provost.
1
   Again, we focused entirely on the charge of the
2
   committee.
3
             Okay.
                   And I think you've indicated what
4
        Ο.
   the answer will be to this question, but I've just
5
   highlighted the paragraph that follows what you've
6
7
   identified as the charge to the committee that reads,
   "The Journal of Schenkerian Studies has made many
8
   contributions to the understanding of music theory,
   to offer music theorists the opportunity to share and
10
   defend diverse viewpoints under the most rigorous
11
   academic standards and ethics."
12
13
              Did I read that correctly?
             Yes.
14
        Α.
             And do I understand from your testimony that
15
        Q.
   this was also not considered by the panel as something
16
   they were charged with investigating concerning the
17
   Journal of Schenkerian Studies?
18
19
        Α.
             Yes, we did not consider this.
20
             Okay, thank you. So it is fair to say, and
        Q.
   correct me if I'm wrong, that you considered the charge
21
   very narrow in scope?
22
                    MS. QUIMBY:
                                 Objection, form.
23
24
             We considered the charge, the specific
        Α.
```

instructions, the charge from the provost, which is

```
represented by the paragraph that I highlighted.
1
             And my question was, you considered that very
2
   narrow in scope?
3
             Yes. Very narrow, along with, as we
4
        Α.
   understood, this charge to be; that it was about
5
   editorial processes.
6
7
             And do you recall my client, Timothy Jackson,
        Ο.
   asking the panel about the scope of the investigation
   being conducted by the ad hoc panel?
9
              Yes, I do. And we had told him exactly what
10
   I'm telling you.
11
             That the scope was narrow and it was confined
12
        Q.
   to this paragraph --
13
              Um-hum.
14
        Α.
              -- that we just read?
15
        Q.
              Yes.
16
        Α.
             Okay. At any time, did the panel stray from
17
        Q.
18
   this narrow focus in its duties? Excuse me, strike that.
19
              At any time, did the panel stray from this
   narrow focus in carrying out its duties?
20
              No.
21
                   I was insistent on that.
        Α.
              Thank you. Were you aware that the
22
        Q.
   investigation had already been announced in the
23
   College of Music by Dean John Richmond?
24
25
                    MS. QUIMBY:
                                 Objection, form.
```

- No, I was not. And John Richmond did not Α. mention this to us when he testified before the committee. Did you ask him? Ο.
- No. Α. Do you think that would be relevant to the Q.
- committee? 7

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- No. Α.
- Were you aware that the College of Music had 0. put the fact that there would be an investigation of the Journal of Schenkerian Studies up on the official website of the College of Music?
- No. I was not. 13 Α.
- Did you think that would be relevant to the 14 Ο. committee? 15
 - No, it would not be, given our charge. Α.
- At some point, you referenced -- you, 17 Q.
- 18 meaning the committee in general, Professor Ishiyama,
- the standards of COPE, C-O-P-E. Do you recognize that 19
- acronym? 20
- It stands for the Council on Publication 21 Yes. Α. Ethics. 22
- Is it -- sorry. Just for clarification, is 23 Ο. it council or committee? 24
- I believe -- I do not recall exactly what the C 25 Α.

- stands for. It could be either. But we call it COPE.

 Those of us who are editors call it COPE.
 - Q. Okay. And I don't mean to quibble. I just want to make a clear record for the Court.
 - A. Um-hum.

- $\ \ \, \bigcirc$. And what was your understanding of the standard of COPE?
- A. They have multiple standards. I'm not sure which ones you would like me to refer to.
- Q. Which ones were you applying when you analyzed the Journal of Schenkerian Studies?
- A. COPE, among many things, says that the review processes should be made public and available to those who are submitting their articles and those who are reviewing. COPE also has fairly strict guidelines about self-publication and also what constitutes adequate peer review. And they are particularly mindful of self-publication by editors. They have other things --
 - Q. By self-publication -- sorry, go ahead.
- A. They have other standards regarding anonymous authors. And also, if something is not peer reviewed, the requirement that there is some disclaimer that publicly appears in that journal. But there are multiple standards that COPE puts forward that we all

subscribe to.

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- When you say, "we all," who are you referring Q. to?
- At least all of the journal editors who were in Α. that room were familiar with COPE. I would -- and I cannot speak to all editors in the world. But I would suggest that the major publishers all abide by COPE.
 - When did COPE come into being, if you know? Q.
- It has been around for I do not recall. Α. some time, but I could not tell you when it was founded.
- Do you recall Timothy Jackson asking about Ο. the nature of the COPE standards that the panel was applying?
- I do not recall specifically, but I believe he did ask about them. He appeared to be unaware what those standards were.
 - And what did you provide to him? Q.
- I gave -- we gave him the website and the Α. PDF document that outlined COPE standards for editors.
- Does COPE have a standard concerning how Ο. contributors to a volume, an edition, a symposium, a commentary should be invited?
- No, it doesn't have that as its editorial It does, however, have requirements about the process. review and especially peer review.

- Q. Um-hum. Let's start with peer review. What do you understand as -- because you have to understand, the jury is probably not familiar with what academics mean by peer review. So could you just explain what a journal editor means by peer review?
- A. Well, there are multiple forms of peer review. I can speak to the ones of the journal that I edited. It's called double-blind peer review, meaning that the author nor the reviewer knows the identity of the other. Minimally, we applied at least two reviews of every article. And oftentimes, more.
- Q. And there's been some confusion among witnesses, understandably so, that double-blind means only two people. But if there were three reviewers, it would be triple-blind. But I understand what you're saying is the double refers to the fact that both the reviewer and the author are not permitted to know the identity of the other to facilitate an impartial review. Is that a fair summary of double-blind peer review?
 - A. Yes, it is.

- Q. Did you do any survey of other journals in the music theory field to determine whether it was common practice in music theory not to subject some articles to peer review?
 - A. No, we did not. We were asked to -- in our

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estimation and our experience, whether we thought that
1
   best practices were being followed, that did not extend
2
   to us conducting a survey.
3
                                I'm going to mark for the
                    MR. ALLEN:
4
   record -- am I up to Exhibit 4, Madam Court Reporter?
5
                    THE REPORTER: Yes.
6
7
                      (Deposition Exhibit Number 4 marked.)
             So I've marked as Exhibit 4 for the record a
8
        Q.
   document which is called COPE Guidelines: A short guide
   to ethical editing for new editors.
10
              Did I read that correctly, Professor Ishiyama?
11
12
             Yes.
        Α.
             Do you recognize this document?
13
        Q.
             Yes.
14
        Α.
             Was this a document relied upon by the
15
        Q.
   committee to inform them of guidelines and practices,
16
17
   standards of COPE?
18
        Α.
             I would have to look through it all again.
19
   But yes, I believe so.
             Okay. And I was hoping we would find an answer
20
        Ο.
   here to our committee versus council question,
21
   but I don't see anything particularly. That's fine.
22
   We will go to -- there's a section that's titled The
23
   Peer-Review Process.
24
25
              Do you see that?
```

- Yes. 1 Α. Does COPE require a sort of one-size-fits-all 2 Ο. peer-review process? 3 No. 4 Α. And, in fact, it says here --5 Ο. You asked me about my experience, so -- but no, 6 Α. 7 they do not. Well, I also asked you about whether you 8 Q. surveyed journals in the music theory area to determine what peer review methods were used in that field, right? 10 No. We did not, because we did not think 11 Α. 12 that was relevant and part of the charge. 13 Thank you. Q. The charge was that we viewed in our experience 14 whether best practices were being followed. 15 Did you expect the music theory journal to 16 Ο. follow the best practices of a political science 17 18 journal? I expect all journals to follow best practices 19 to guarantee a transparent review process that is with 20 21 integrity and that there is the -- that they follow
- 24 Q. So here, under the peer-review process, 25 Number 8, it says, "Adopt a peer-review process

self-publication is not one of those things.

the guidelines of COPE, but also make sure that

22

that is appropriate for your journal/field of work and resources/systems available."

Did I read that correctly?

- A. Yes. May I ask? The second line, the clarification about the number of reviewers. So it does suggest that reviewers should be used, and they should be anonymous.
- Q. What did you do to determine what peer-review process was appropriate for the Journal of Schenkerian Studies?
- A. We were not asked to determine what is appropriate, but what was inappropriate. And so given our experience as editors, what standards we would apply to evaluating whether those recommended standards were followed, I don't believe they were.
- Q. In your expertise as an editor, is it your view that any academic journal that publishes an article without peer review or without clearly -- let me strike that and ask this in two parts.

Based on your experience as an editor and the tasks you were asked to carry through as part of the ad hoc panel --

A. Um-hum.

Q. -- was it your view that an academic journal that did not have a transparent process or peer review

was not appropriate for academic publication?

- A. I would not make a blanket statement like that. But if the journal is representing the publications as peer-reviewed journal articles, then I certainly do think they should follow some process of -- that is typical for peer review. You know, many journals I know publish other things other than peer-reviewed journal articles, such as opinion editorial pieces or other items like book reviews. But if they represent these as peer-reviewed journal articles, they should be peer reviewed.
- Q. Where did Timothy Jackson represent the Symposium in Volume 12 of the Journal of Schenkerian Studies as peer reviewed, to your knowledge?
- A. If it appeared in the journal, the suggestion is that it was peer reviewed if the journal claimed it was a peer-reviewed journal. Now, symposium are not separate from that standard.
- Q. So a journal that claims to be a peer-reviewed journal, but publishes articles that are not peer reviewed, without a transparent process, that would be inappropriate in your view?
- A. This they -- only if they did not clearly indicate in the section of the journal that this was not peer reviewed.
 - Q. And there's been some discussion in our --

among our witnesses that we've deposed in this case that 1 just as you've said, Professor Ishiyama, there are 2 different kinds of review and so forth, unsurprising in the academic field, I think. So I want to ask you a 4 question about one type of -- I'll just call it vetting 5 of publications that's come up. It's when a presentation 6 is submitted for consideration to a conference and 7 subsequently published in a journal. Is that a common practice in academia? 9

MS. QUIMBY: Objection, form.

A. I do not know if it's common, but I have heard of it, that the presidential addresses are published in journals, but there's always a clear marker saying that this has not been peer reviewed and was a public presentation at a conference.

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- Q. And if that's not given, is that inappropriate for such a publication?
- A. I don't understand. Could you repeat the question? I don't actually understand it.
- Q. Sure, sure. And this is a great example of asking for clarification, so thanks.

You just described several kinds of papers that might be published in a journal, which were given as conference presentations. Did I understand your testimony right?

A. Well, I would have to say what I'm aware of is that sometimes, presidential addresses, that if you're president of an association, that it will be published in a journal, but there's a clear indication in the journal that this is a presidential address and stands different from the other peer-reviewed articles that appear in the journal.

Q. And if there is no such clear transparent declaration, that's inappropriate, right?

MS. QUIMBY: Objection, form.

- A. I -- well, inappropriate? I would say it's not a best practice, clearly not a best practice.
- Q. Well, and I guess you are now saying you can identify things that are not best practice. And when we talked about peer review concerning the Journal of Schenkerian Studies, you said your task was to identify what was inappropriate, right? So that's the source of my question. Go ahead.
- A. The charge didn't mention inappropriate. It said whether or not the Journal followed best practices, and we stuck to that. Whether or not it was appropriate, I think, is not the question. The question is given our experience, did the Journal follow best practices in terms of publishing.
 - Q. Okay. So I'm asking you to clarify your

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testimony then. Before, I asked you what you did to find out what the appropriate processes for the Journal of Schenkerian Studies were to peer review articles, and you said that wasn't your task. Your task was to determine what was inappropriate. Do you remember saying that?
```

- A. I do not recall. But we're sticking straight to what the charge was, and I want to stick to that charge. That's what we were asked to do.
- Q. Okay. And you stuck to that charge in all respects, right?
 - A. Yes, yes.

Q. So there's another kind of conference proceedings that are published, at least among witnesses that we've deposed have testified to, and I would like to ask you about that. That's where people apply to a conference committee or whatever to present at the conference, and then subsequently, those papers are published in a journal.

The process that's been described -- I'm just going to represent this to you -- someone will submit something like a 450-word précis, maybe a bibliography, something of that nature, which explains the kind of paper they want to give. That will be reviewed by a conference program committee. It will be accepted. Then

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a discussion will be had with an editor of a journal of
one kind or another, and the paper presented at the
conference will be worked up into a full-length article
and published. Are you familiar with that kind of review
process?
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- A. I am aware that these happen, but I think that you are referring to conference proceedings, and it's conference proceedings, which is the first part. Whether or not they're published in a journal is subject to peer review in the second part. So I think these seem to be conflated. Conference proceedings are very different than journal --
- Q. I'm not talking about publishing straight up conference proceedings. So please understand, I'm talking about where someone gets their paper in, presents it. It's recruited by an editor for publication in a journal, whether specialized or general. It doesn't matter. Then that paper is published in the journal.

My question then is that does not count, according to you, as peer review, correct?

A. No, that's incorrect. That is incorrect. These -- from what I'm aware of, papers that are recruited from a conference by an editor to appear in a special issue still undergo peer review in my experience on this several times.

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_{\mathbb{Q}}. Okay. I'm sorry -- I'm sorry to interrupt, Professor Ishiyama. And I try not to do that. But I actually wasn't asking that, so I wanted to be more clear and then give you a chance to answer.
```

What I mean is the second phase, what I think you called the second phase -- there's the presentation that's the first phase. Then there's a subsequent publication in a journal where the presentation is worked up into a longer piece and published.

At the second phase in the examples that we have heard in deposition, there is no double-blind peer review, but the article is published anyway in a journal. And let me back up and ask, are you familiar with that process?

- A. No. Given my experience, no.
- Q. Okay. And what I just described, a précis reviewed by a program committee, then articles subsequently published in a journal without double-blind peer review, would you count that as a peer-reviewed article?
- A. By précis, you mean the same thing as a journal article? Because there are many publications that are not journal articles, that are summaries of something --
 - Q. No.

A. -- or proceedings or recordings.

```
No, not a summary. I'm going to describe the
1
        Q.
   exact situation.
                      I am.
2
3
             Hmm.
        Α.
             Well, I'll tell you what. I'll make this a
4
        Ο.
   little bit easier by giving a concrete example; is that
5
   fair?
6
7
             Certainly, yes.
        Α.
                      (Deposition Exhibit Number 5 marked.)
8
                    MR. ALLEN:
                                I'm going to mark for the
9
   record as Exhibit 5 the title page of Volume 26, 2020, of
10
   the journal published by the University of North Texas
11
12
   Press, Theoria. This is also edited by a professor at
   the University of North Texas named Frank Heidlberger.
13
   And this is the title page of that volume.
                                                 Do you see
14
   the exhibit, Professor Ishiyama, Exhibit 5?
15
             If that's the title page, I do see.
16
        Α.
             And I'm just scrolling down. It does clearly
17
        Q.
18
   list an advisory board. Do you see that?
19
        Α.
             Yes, yes.
             And that it's published by the University of
20
        Q.
   North Texas. We see that here at the bottom of the first
21
   page, right?
22
             Yes.
23
        Α.
             Now, I'm just scrolling down for you to give
24
        Q.
   you -- all I have here is the title page. Obviously, I'm
25
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not going to ask you in deposition time to read a full journal article. But this is the title page of Theoria, Historical Aspect of Music Theory, Volume 26, 2020, and the title page includes articles, right?
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A. Yes. That's what the title says.

Q. And I'm just -- and I know the entire journal isn't here for your perusal. But do you see any clear indication in the title page that any of these articles have not been subjected to peer review?

MS. QUIMBY: Objection, form.

- A. Can you -- can you scroll down, so I may see the entire --
 - Q. Yeah, there's not much left. See?
- A. There is no note indicated, because this is only an excerpt from a particular issue. There's nothing in notes, no disclaimer, nothing else. It's hard for me to determine just based upon --
- Q. Okay. To my knowledge -- to my knowledge, there is not. But if there is, I'm sure your attorney, Mary Quimby, will be able to point that out for the Court. I'm going to ask you -- well, I think we can agree, on this title page, there is no such designation, correct?
- A. Those designations don't necessarily appear on the title page. Sometimes, they're in the second page.

- Sometimes, they're in the note to that particular article.
 - Q. Okay.

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- A. But no, I don't see anything here. But I'll trust that you've read it, so...
- $_{\mathbb{Q}}$. Well, and maybe we will go back and read it and educate ourselves. But I want to ask you some questions about what you consider to be peer reviewed and what you don't.
- 10 \triangle Um-hum.
 - Q. There's a Russian music theory panel listed that starts on page 55 of this journal. Do you see that?
 - A. Yes, I do.
- 14 Q. And there's an article published by Ellen
 15 Bakulina, who is a faculty member at the University of
 16 North Texas and a colleague of Frank Heidlberger.
- 17 A. Yes.
 - Q. The editor of this journal.
- 19 A. Yes.
- 20 Q. There's Philip Ewell. There's been a
 21 longer piece by Ellen Bakulina and then an article by
 22 Christopher Segall. And I'm going to represent to you
 23 that those were all part of this Russian music theory
 24 panel. Okay?
- 25 A. Um-hum, yes.

```
And testimony has indicated that these were
1
        Q.
   reviewed in exactly the way that I have informed you;
2
   that there was an abstract or précis or whatever you want
   to call it, a short description of what someone wanted
4
   to give as a conference paper submitted to the program
5
   committee of the SMT. Do you know what the SMT stands
6
7
   for, just so we avoid confusion?
             I believe it stands for the Society of Music
8
        Α.
9
   Theory.
                       So in 2018, this was a panel
10
             Correct.
        Ο.
   presented at the conference, an annual conference.
11
12
   Afterwards, these articles were recruited to the
   journal. They were built up from the conference papers
13
   into longer articles. It looks like Philip Ewell's
14
   article here is approximately 24 pages, 23 or 24 pages,
15
   and published, but there was no double-blind peer review
16
   before these articles appeared in the journal.
17
18
             Um-hum, yes.
        Α.
             Those are the -- those are the facts that I'm
19
        Q.
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Q. Those are the -- those are the facts that I'm summarizing to you. Now, my question, and I'm sorry for being a bit long on that, is based on your expertise, would you consider that peer review?

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MS. QUIMBY: Objection, form.

A. Now, peer review is a review by peers in the field.

Q. Correct.

- A. I think what you are referring to is what we call editor reviews, which are not the same standard as a peer-reviewed article.
 - Q. Okay.
- A. These are generally reviewed by the editor along with multiple others or several others on the editorial board who review it. Now, I'm not sure if that's what happened here. But that could happen, an editor review process, but not necessarily a peer-review process.
- Q. If an editor held out these articles as, quote, peer reviewed, in your view, would that be appropriate?
 MS. QUIMBY: Objection, form.
- A. Well, just like I don't want to say anything about judgment of whether it's appropriate or not, but it's not best practice. It really is not. If you want to represent it as peer-reviewed, it's not.
- Q. That's all I'm trying to get at. You wouldn't consider articles published in the way that I've just described to be fully peer-reviewed in the sense of double-blind peer review that we've discussed, correct?

 MS. QUIMBY: Objection, form.
- A. That's true. And if it's represented as peer reviewed, then that would be inaccurate.

And just to sew up this line of Okay. Q. questioning, that would be true for any journal, any academic journal, correct?

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- No. Some journals, they say -- they Α. represent themselves as peer reviewed. And they say it's peer reviewed unless, if it is not, then it's clearly indicated somewhere that it was either editor reviewed or not reviewed at all.
- So that would -- the process we've just Ο. described would not be best practice for a peer-reviewed academic journal?
- If they are representing the contents as Α. peer reviewed, this would not be best practice.
- Okay. Now, for the Symposium in Volume 12 Ο. of the Journal of Schenkerian Studies, is it your understanding and your expertise, that if the call for papers had clearly indicated that the Symposium would not be peer reviewed, that would be best practice? MS. QUIMBY: Objection, form.
- I have not seen the call papers, but I couldn't Α. say.
- You've never seen the call for papers that Q. the Journal of Schenkerian Studies sent out to solicit articles?
 - I do believe -- I do not recall seeing it. Α.

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But perhaps among the volume of materials we reviewed,
1
   it was there. But I do not recall seeing, if we did,
2
   that there was a specific thing that this would not be
   peer reviewed. But again, this is four years ago.
4
             I'm not saying it did. I'm saying if it had,
5
        Ο.
   that would be appropriate?
6
7
                   MS. QUIMBY:
                                Objection, form.
             If it had -- may I ask for clarification?
                                                         Ιf
8
        Α.
   it had included a counterfactual, because it may not
   have, if it had, would that be --
10
             I'm not asking you that. Yeah, so it sounded
11
        Ο.
12
   to me like your testimony was that journals should be
   very clear about how they're reviewing or not reviewing
13
   works. And as long as they do that and are aboveboard
14
   and it's transparent, then that's best practice in the
15
   academic journal industry, for lack of a better word.
16
             Yes, I would -- I would think so, yes. But it
17
        Α.
18
   should be included in the journal itself.
19
        Q.
             Right. And that -- to make sure which
   papers -- is it a fair analogy to say the customer,
20
21
   namely, the reader, needs to know what they're getting?
             It should be transparent, yes.
22
        Α.
                               Okay. I want to mark for
23
                   MR. ALLEN:
   the record Exhibit 6.
24
25
                      (Deposition Exhibit Number 6 marked.)
```

```
Can you see this email, Professor Ishiyama,
1
        Q.
   that I'm marking as Exhibit 6 for the record?
                                                    It's from
2
   you, John Ishiyama, to Timothy Jackson, with what I take
3
   to be the members of the ad hoc panel on the CC line as
4
   well as an attorney named Renaldo Stowers who's in the
5
   room with you, and myself, Michael Allen.
6
7
              Did I read that correctly?
             Yes.
8
        Α.
             Do you recognize this email?
9
        Q.
             Yes.
10
        Α.
             And it's October 4th -- excuse me.
11
        Ο.
   October 14th, 2020, right?
12
             Yes.
13
        Α.
             Now, I hope you'll bear with me. And I'm going
14
        Ο.
   to do something which I confess to you drives me crazy
15
   when people scroll through documents in front of my eyes.
16
   It makes me cross-eyed. But I'm going to have to do it
17
18
   to bring you down to the previous message.
19
   in the nature of emails that they go from backwards
   forwards. And you see Timothy Jackson emailed you on
20
   Wednesday, October 14th, in the email at the bottom of
21
   this page?
22
             There is another -- at the bottom, there's one
23
   that says October 13th. Are you referring to one that's
24
```

not on bottom, but above it? That one there.

- ${\it Q}.$ Yeah. Now, I'm happy to give you -- this is the whole email string. If you want to review it all, I'm not trying to hide it from you. A. Um-hum.
- Q. But I'm not going to be asking you questions about this. Of course, your attorney can come back around and ask questions about it if she so chooses.
 - A. Um-hum.

2

3

4

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16

17

18

- Q. So I just want to take you back up here. I mean, is it fair to say these are emails conducted in the ordinary course of business of the ad hoc panel as you understood it?
- 13 A. In communication and response to Dr. Jackson, 14 yes.
 - Q. Yeah. And of course, you were the one who received this email and maintained it in your email, correct?
 - A. Yes, I did.
- 19 Q. And this was just the ordinary kinds of emails 20 you would be exchanging on a regular basis with people 21 you were interviewing and other members of the committee, 22 right?
- 23 A. As far as it pertains to the committee's work, 24 yes.
 - Q. Thank you. So here, Timothy Jackson, I'm just

```
talking about this email which I've highlighted for you,
1
   Wednesday, October 14th, 2020, he asks, "Thanks for this.
2
   John" -- referring to a previous email. "I have looked
   at the COPE website, and they seem to have quite a few
4
   policy statements mostly geared to coping with research
5
   fraud and plagiarism issues. Are there specific policies
6
   of COPE that the ad hoc committee thinks are relevant
7
          I hope the panel is also prepared to discuss how
   to maintain the integrity of an academic journal in
   the face of widespread calls for censorship and the
10
   repression of unpopular viewpoints. Will the panel be
11
   addressing that? Thanks, Tim."
12
13
              Did I read that correctly into the record?
             Yes.
14
        Α.
             Okay. And is it fair to say that you then
15
        Q.
   answered by explaining the nature of COPE to Tim in that
16
   first numbered paragraph, numeral 1?
17
18
             Yes.
        Α.
             And you linked the website of the COPE,
19
        Q.
   right?
20
             Yes.
21
        Α.
             And then consistent with your --
22
        Q.
             It also has the PDF. It also has the PDF.
23
        Α.
             Is that where that PDF that we marked as the
24
        Q.
   previous Exhibit Number 4 came from?
```

```
Yes, as far as I recall.
1
        Α.
             Is that the PDF you are referring to or a
2
        Q.
   different one?
3
             Yes, this one.
4
        Α.
             Exhibit 4? Is that yes?
5
        Ο.
                    MR. ALLEN:
                                Did I not hear that, Kim?
6
7
             Yes.
        Α.
             Thank you. And could you read paragraph 2 into
8
        Q.
   the record, which I think you've testified to before, but
   I would just like you to read this answer
10
   to Timothy Jackson's question about academic freedom
11
   into the record for us.
12
                    MS. QUIMBY:
                                 Objection, form.
13
             Can I ask for a clarification? Paragraph 2
14
   does not refer to academic freedom at all.
15
             Did you see here that Timothy asked the
16
        Ο.
   question, Timothy Jackson, "I hope the panel is also
17
18
   prepared to discuss how to maintain the integrity of
19
   an academic journal in the face of widespread calls for
   censorship and the repression of unpopular viewpoints.
20
   Will the panel be addressing that?"
21
22
              And you've already testified that I read that
23
   correctly. Am I mistaken, that paragraph 2 of your
   response to Timothy's email, Timothy Jackson's email,
24
   did not respond to that question?
25
```

```
A. No, it did respond to the question. You asked me if it included a mention of academic freedom, and it does not. It was in response, saying clearly that the answer is no.
```

"The panel's charge is narrow, to only investigate the journal's editorial processes including management, peer review, and other processes related to journal production. The focus of our questions will only be on these issues. You are free to add information that you believe the panel should know after we have had the opportunity to ask our questions."

Q. Okay. And I believe you've already answered my question. That was your response to Timothy's question, whether you would be investigating the infringement of his academic freedom?

MS. QUIMBY: Objection, form.

- A. Again, our charge was very narrow, and we stuck to it.
- Q. Okay. And I'm just trying to build the record of the documents that establish what you were doing in the ad hoc committee. And I know that was consistent with your previous testimony. So this is simply part of the process, Professor Ishiyama.
 - A. Um-hum, okay.

Q. I wasn't -- I wasn't suggesting that you were

```
misrepresenting something here.
1
                    MS. QUIMBY: Can we take a break? It's
2
   been about an hour.
3
                    MR. ALLEN: You know, I had not been
4
   aware of that, and I've just been charging through.
5
   And that's fine. Shall we go off the record?
6
7
                    MS. QUIMBY: Yes.
                    THE VIDEOGRAPHER: Off the record at
8
   10:21.
9
                      (Recess taken)
10
                    THE VIDEOGRAPHER: The time is 10:37.
11
12
   We're on the record.
             Thank you, Professor Ishiyama. I want to go
13
        0.
   back to Exhibit 3, which is the Ad Hoc Panel Report, and
14
   I wanted to ask you another question about the charge
15
   that you testified to earlier in Exhibit 3.
16
17
              In the charge that you read into the record,
18
   you were instructed to examine objectively the processes
19
   followed in the conception and production of Volume 12
   of the Journal of Schenkerian Studies, right?
20
21
             Yes.
        Α.
             Can you explain for the Court what you
22
   understood as an objective investigation?
23
24
             Well, given the charge, it was to evaluate the
        Α.
   processes that were listed by the Journal in terms of
25
```

```
editing Volume 12. In light of our experience as editor, that we should only focus on the charge, which was to investigate the processes, and not the influence by other things related to the production of Volume 12.
```

Q. And is it objective, in your understanding of research or investigations, to ignore exculpatory evidence?

MS. QUIMBY: Objection, form.

- A. I think objectively means that you view the evidence without prejudice, without preconceived notions. That's how I understand objectively.
- Q. So my question was, is it objective to ignore exculpatory evidence?

MS. QUIMBY: Objection, form.

- A. I don't think that is how I would define objective.
 - Q. Okay.

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- A. It may not be best research practice; but that's not, in my view, how you define objective.
- Q. Is it acceptable in an objective investigation to ignore exculpatory evidence?
- A. Again, it's not related to objectivity. It may not be good research practice. That would be perhaps mentioned in the peer-review process. But in terms of objectivity, I take that to mean that you do not consider

```
things outside of the charge that might influence and
1
   prejudice your decision.
2
             Would considering exculpatory evidence
3
        Ο.
   prejudice your decision?
4
5
                    MS. QUIMBY:
                                 Objection, form.
             That's not what we mean by objectivity.
6
        Α.
7
             Well, I wasn't asking you about that. I was
        Q.
   asking you about the statement you just made about not
   considering anything that would prejudice your decision.
9
   I believe you said something to that effect, right?
10
             But I said that was for peer-review processes.
11
12
   That's not good research effort. But your question was
   about objectivity, and I answered that.
13
             Okay. And I'm following up with a question
14
        Ο.
   about your methods of conducting the investigation in the
15
   ad hock panel.
16
17
             Um-hum.
        Α.
18
             Would you consider it best practices for the ad
        Ο.
19
   hoc panel to ignore exculpatory evidence?
20
                    MS. QUIMBY:
                                 Objection, form.
21
              I do not believe we ignored such evidence.
        Α.
   But no, I don't think we ignored such evidence.
22
             And you would not consider that best practices
23
        Ο.
   if evidence was ignored?
24
             We were not asked about best practices about
25
        Α.
```

how we did the review process. We were asked to judge 1 the best practices of the Journal of Schenkerian Studies. 2 I understand that. I'm asking you. So could 3 Ο. you answer the question as asked? 4 5 I'm not sure of the question. Α. MR. ALLEN: Madam Court Reporter, could 6 7 you read the previous question back to the witness? BY THE REPORTER: 8 Q. QUESTION: Would you consider it best 9 practices for the ad hoc panel to ignore 10 exculpatory evidence? 11 If we did that. I don't not think that is what 12 Α. happened. 13 That's not my question. Right. I understand 14 Ο. that you deny that happened. My question is would that 15 be best practice --16 17 You are asking me what I believe is best practice. I don't -- I don't think I should venture 18 19 an opinion about that. I told you that research practices, we do not ignore evidence. But you are 20 asking specifically about the activities of the panel, 21 and I think I've answered that. 22 No, I think you have not. I think you have not 23 answered whether it would be best practice for a panel 24

such as your ad hoc panel to ignore exculpatory evidence.

```
We can agree, can we not, Professor
1
   Ishiyama --
2
             We did not.
3
        Α.
             Can we agree that the ad hoc panel should not
4
        Ο.
   ignore exculpatory evidence?
5
                    MS. QUIMBY:
                                 Objection, form.
6
7
             No, I don't agree to that because we did not do
   that. I'm very narrow in terms of what we did, not
   speculate on whether or not something happened.
9
             I'm not asking you to speculate.
                                                 I'm asking
10
   you to tell me precisely for the record your methods.
11
12
             Are you asking for my opinion, sir?
        Α.
             I'm asking for your understanding of what
13
        Q.
   your task was. If you want to characterize that as your
14
   opinion, that's fine with me. Your understanding of your
15
   task as a member of the ad hoc panel was that it would
16
   be -- it would not be best practice to ignore exculpatory
17
18
   evidence. Can we agree on that?
19
        Α.
             But the charge -- your question started with
   objectivity.
20
21
             Yes.
        Ο.
             Not best practice. I'm not sure how they're
22
        Α.
   related.
23
             You brought up best practice, sir. So that's
24
        Q.
```

why I was asking you that question.

Well, that's beyond the scope of the charge. 1 Α. Well, I'm not asking you only about the scope 2 Q. of the charge. I'm asking you about your approach of the 3 investigation in the ad hoc panel. 4 5 We considered all of the evidence objectively, meaning that without prejudice and without preconceived 6 7 notion, that's how we proceeded. Did you invite Timothy Jackson in 8 Q. advance to respond to the investigation report that you eventually produced? 10 MS. QUIMBY: Objection, form. 11 12 We asked him to testify. We did not ask him to Α. respond to the report. That was not part of our charge. 13 Were you aware that Timothy Jackson did respond 14 Ο. to the report? 15 The committee 16 He did send us a message. Α. reviewed it and determined that this evidence actually 17 18 did not affect our assessment of the general review 19 processes, which was our focus. What evidence are you referring to? 20 Ο. 21 Well, the fact that there was nothing produced that demonstrated what the review process was. 22 Dr. Jackson had sent us a large group of emails, which 23

we surveyed carefully, and could not determine what the

review process was for Volume 12.

24

Also, that there was self-publication by the editor with no clear evidence that there were special precautions to prevent a conflict of interest and that the head made a decision regarding publication of an anonymous contributor, but we didn't focus too much on that because that does happen as long as there's some message or information provided in the journal that there's a reason why they're doing -- the editor's doing that. That did not appear. So that's what we were looking at.

- Q. Are you referring to the -- I'm just trying to figure out what documents you are referring to, and I think we'll get to these. But are you referring to an email Timothy Jackson sent you with attachments in advance of his interview or shortly after his interview in the midst of the investigation, or -- and this is the question about the response -- are you referring to documents sent to you after the investigation was complete?
- A. You know, I don't -- I'm not -- I don't recall four years ago exactly the sequence. I do know that Dr. Jackson had sent us something that was a body of emails that he said would outline the review process. We did review that, and there was no evidence that indicated that there was a clear review process. So I'm

```
referring partially to that. I cannot recall in what
1
   sequence those appeared.
2
                   Hopefully, we'll clear this up later.
3
             0kav.
        Q.
   I think I know which documents you are referring to. And
4
5
   when we come to those, hopefully, we can clear that up.
              I want to return to the COPE principles, if
6
7
   I could for a moment. I believe you did say you
   interviewed the individuals at the University of North
   Texas who were responsible for operating the University
   of North Texas Press?
10
             Yes.
                   I don't recall their names right now, but
11
        Α.
12
   yes.
             Was one named Chrisman, if that helps you
13
        Q.
   recall?
14
             I did not hear the name. Could you repeat it?
15
        Α.
   One was named who?
16
                        Chrisman. C-H --
17
             Chrisman.
        Q.
             I don't recall that name.
18
        Α.
19
             Okay, that's fine. Were COPE principles
        Q.
   required by the University of North Texas Press?
20
21
             I am not aware if they have. Requirement is
   not what COPE recommends. It's best practices that they
22
   seek editors to pursue. I'm unaware of what the
23
   University of North Texas requires.
24
```

Ο.

You do know that the University of North Texas

```
published the Journal of Schenkerian Studies, right?
1
             That, I do know, yes.
2
        Α.
                      (Deposition Exhibit Number 7 marked.)
3
                   MR. ALLEN:
                                Let me -- sorry.
                                                  I'm going
4
   to mark for the record as Exhibit 7 a document that is
5
   dated in handwriting September 16, 2020 and Journal
6
   Review #2.
7
             And I'm going to represent to you, Professor
8
        Q.
   Ishiyama, that to the best of my knowledge, these are
   notes of a Professor Wallach who was on the program --
10
   excuse me, the ad hoc committee. Do you recognize the
11
12
   handwriting by any chance?
13
             No. I do not.
        Α.
             Did members of the ad hoc panel share their
14
        Ο.
   notes with each other?
15
                  We actually discussed in our meetings our
16
             No.
        Α.
   points.
            We did not share the notes.
17
18
             Okay. So what we have here are one individual
        Ο.
19
   on the panel's notes. And I want to ask you a few
   questions to see if you recall the things that are
20
   recorded in these contemporaneous notes being discussed
21
   by the ad hoc panel. I'm obviously not trying to
22
   attribute this to you, just so we're clear. It does
23
   refer to Ron Chrisman here and Karen DeVinney.
24
25
              Do you see that at the top?
```

A. Yes.

1

2

3

4

5

6

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- Q. Does that help refresh your memory as to who the individuals were who were operating the University of North Texas Press?
- A. Yes, it does. I had misheard you say before Christmas, but Chrisman sounds more familiar.
- Q. Okay. Understandable. Just real quick, something I know is probably not within the purview of your investigation or at least at the Center, but there's a note here that after one year, there should be or there was a free online upon access in the library. Do you remember the UNT Press discussing how the University Press made the Journal available to the public in this way?

MS. QUIMBY: Objection, form.

- A. No, I don't. But they were talking about their production processes may be part of it.
- Q. You don't have any reason to believe this was not accurate?
- A. No. But I can't be sure, because these are not my notes.
 - Q. I understand, sir.
- 23 A. And I -- they talked a lot about production.

MR. ALLEN: Now, unfortunately, I can't refer to Bates numbers here, Attorney Quimby. But I'm

turning to page 3, I believe, of the PDF.

- There's a number of circled numbers, and Ο. I'm going to draw your attention, if I may, Professor Ishiyama, to number 3.
 - Um-hum. Α.
- It appears that there is some discussion of the Q. committee on publication ethics noted here. See?
 - Um-hum, yes. Α.
- And it says, "Did not put in contract. Do that 0. in the future."

Did I read that correctly?

12 Yes. Α.

1

2

3

4

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Do you remember discussing that the contracts Ο. with the journals that were published by the University of North Texas Press did not have COPE principles in their contracts?

> MS. QUIMBY: Objection, form.

I recall that Ron Chrisman did talk about the Α. production process and mentioned that COPE principles should be in future activities of the UNT Press.

However, you know, being part of a contract is not normally the case with most journals. Rather, these are best practices that editors should pursue. And I think the fact that it was not in the contract is not that unusual for most journals, although journals do

abide by the guidelines that they wish to be reputable.

- Q. And did you find any evidence that the Journal of Schenkerian Studies as not reputable, sir?
- A. If you -- if, in evaluating again, not the journal, but the processes that were used, did not comport to best practices in journal editing.
- Q. Did you have any evidence that that affected the reputation of the articles published by the Journal of Schenkerian Studies?
- A. We were not asked to evaluate the reputation of the Journal, nor the articles that appeared, only on the processes used.
- Q. Well, that's not my question. I just asked in the course of your investigation, did any evidence come forward that indicated that the articles published in the Journal of Schenkerian Studies were not esteemed in the field?

MS. QUIMBY: Objection, form.

A. No.

1

2

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6

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Q. Back to what appears to be the people who ran in the press statements to the ad hoc panel, it also records that what they had discussed, COPE principles not really being in the contracts, but maybe should be in the future, how the contracts were structured. It appears that Ron Chrisman said this is the standard practice for

```
the press at that time, right? Do you remember him
1
   saying that?
2
                    MS. QUIMBY:
                                 Objection, form.
3
             That specific statement, I don't recall.
                                                         But
4
        Α.
   he may have.
5
             Okay.
                   There's also mention of another journal
6
        Q.
7
   in the College of Music. Did you remember talking about
   that with the University of North Texas Press?
                    MS. QUIMBY:
                                 Objection, form.
9
             I do not recall that specific statement.
10
   since he was talking about the operations of the press.
11
12
   he may have mentioned it.
             You don't have any reason to believe that's not
13
        Ο.
   Theoria, the title page we examined previously, right?
14
                    MS. QUIMBY:
                                 Objection, form.
15
             I would not know.
16
        Α.
                   Do you have any knowledge of whether the
17
             Okay.
        Q.
   University of North Texas Press now requires COPE
18
19
   principles for the journals it publishes?
                  Again, our focus was only on producing the
20
        Α.
             No.
            I have not followed things since.
21
   report.
             Okay. And you didn't think it was your
22
        Q.
   obligation to compare the Journal of Schenkerian Studies
23
   to the practices of a journal like Theoria in the same
24
25
   department, in the same field, right?
```

```
We were asked to evaluate using our
1
        Α.
   experiences objectively, the practices of the Journal
2
   of Schenkerian Studies.
3
                    MR. ALLEN:
                                 I'm going to mark for the
4
   record as Exhibit 8 another set of notes from your ad hoc
5
   panel.
6
7
                      (Deposition Exhibit Number 8 marked.)
              Do you see -- I'll just state for the record
8
        Q.
   this begins UNT 003301.
              Yes.
10
        Α.
             And I'll just ask if you know whose notes these
11
        Ο.
12
   are.
              I believe these were the sort of list of
13
        Α.
   questions that we came up with. And in order to pursue
14
   our interviews, we had collectively wrote this. And then
15
   I believe I typed it up and circulated it.
16
17
              Okay.
                     And it seems like under these questions
        Q.
18
   for Ron Chrisman and Karen DeVinney, there are some typed
   in notes here.
19
              Yes.
20
        Α.
21
              Do you see those?
        0.
              Yes.
22
        Α.
              And so my question for you is, do you know what
23
        Ο.
   these notes represent?
24
25
              I would have to look at them carefully.
        Α.
```

```
Can I ask you to read this block right here?
1
        Q.
              Notes from -- okay.
2
        Α.
               "Notes from the Committee on Publication
3
   Ethics.
            Although UNT Press may not be part of COPE, they
4
   should abide by these standards, especially these two --
5
   the first relates to 'anonymous' authorship and the
6
7
   second deals with editors publishing in their own
   journals."
              And then there's a quote.
9
               "'Journals should adopt and promote an
10
   authorship policy that is appropriate to the field of
11
12
   research. Your procedures should encourage appropriate
   authorship attribution and discourage guest and ghost
13
   authorships. These will vary from journal to journal
14
   but might include:
15
               1) requiring statements of each individual's
16
   contribution to the research and publication.
17
18

    Use checklists to prevent ghost authorship,

   See for example, PLoS journals.
19
20

    Requiring all authors to sign an authorship

   declaration.
21

    Including all authors in communications,

22
   acknowledging receipt of a submission, not just the
23
   corresponding author.
24
               • Clearly specifying authorship criteria in
```

the Instructions to Authors.'"

- Q. Okay. And just to ask you again, now that you've read it, do you recall writing that, or was that one of the other ad hoc panel members?
- ${\tt A.}$ I do not recall. It may have been me, but I cannot recall. These are spontaneous notes, so I do not know, and they're typed.
 - Q. I understand. What is ghost authorship?
- A. Ghost authorship is something that PLoS uses to identify anonymous ownership, meaning they use a pseudonym instead of their real name, or even saying anonymous. That would be ghost authorship.
 - Q. What is PLoS, P-L-0-S?
- A. I do not recall what the acronym stands for, but it is a journal that is published open access in Europe. And they have developed guidelines on ghost authorship that COPE recommended consulting, so as an example.
- $_{\mathbb{Q}}$. And your understanding of ghost authorship was that it's a form of anonymous publication, like, say, I don't know, for lack of a better analogy, adopting some kind of pseudonym on social media or some such thing?
- A. Yes, that's accurate. I would consider that a form -- a form of ghost authorship.
 - Q. And I'm just going to represent to you that

```
if you click on this link, and we can do that if you
1
   want, and I'll ask your attorney to verify that with you.
2
   I'm just going to represent that the following document
3
   is accessible at that website URL. And I'm going to mark
4
   it as Exhibit 9 for the record.
5
                      (Deposition Exhibit Number 9 marked.)
6
7
                   MS. QUIMBY:
                                I meant to ask this before
   we got started again. Are you able to send the documents
   in the chat, so that the witness is able to better access
   them?
10
11
                   MR. ALLEN:
                                I hadn't thought of that,
12
   but that is a great idea.
                   MS. QUIMBY: It may prevent the
13
   scrolling.
14
                   MR. ALLEN:
                                I think I can just plop
15
   them in there, and thanks for that suggestion.
16
              As your attorney indicated, I'm putting this
17
   in the chat, Professor Ishiyama.
18
                                      It should have arrived.
19
   It's a rather large document.
                   THE WITNESS: Can we open it in the chat
20
21
   or --
                   MS. QUIMBY: I believe you may have to
22
   download it, and then open it as opposed to what I just
23
   said.
24
                                  May we go off the record?
25
                   THE WITNESS:
```

```
MR. ALLEN:
                                Please.
1
                    THE VIDEOGRAPHER: Off the record at
2
   11:00.
3
4
                      (Recess taken)
5
                    THE VIDEOGRAPHER: On the record at
   11:04.
6
7
             Okay. Professor Ishiyama, I just had a --
        Ο.
   and sorry the document is so large. But I just had a
   question on the first page.
9
              Um-hum.
10
        Α.
             A series of questions. Can you read the
11
        Ο.
   title of this article into the record?
12
                     What Should Be Done To Tackle
             Yeah.
13
        Α.
   Ghostwriting In The Medical Literature.
14
              Is it your understanding, as a member of the ad
15
   hoc panel, that there was significant differences between
16
   medical literature and articles published in music
17
   theory, such as in the Journal of Schenkerian Studies?
18
19
        Α.
              No.
20
             Okay.
        Q.
21
             Well, are you referring to this particular
        Α.
   article or --
22
             Well, in general, what you know of medical
23
        Ο.
   publications or scientific publications. For example,
24
25
   let me ask you a specific example. Is it your
```

2

3

4

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```
understanding that it's common in medical or
scientific journals to publish with multiple authors?
          I can't say for sure. But you know, because
     Α.
it's not my field.
          Sure.
     Ο.
          But I understand that that is common.
     Α.
          And did you understand from your experience
     Q.
investigating the Journal for Schenkerian Studies that
most authors single author their articles in music
theory, at least in the Journal of Schenkerian Studies?
                MS. QUIMBY:
                             Objection, form.
          I don't know about that.
     Α.
          That's not something the ad hoc panel
     Ο.
considered?
                MS. QUIMBY: Objection, form.
          No.
     Α.
          And I think your attorney is raising a good
     Q.
objection, so I'm going to rephrase the question just for
the purpose of the records and get a clean answer, and
we'll move on.
           So the ad hoc panel did not consider the
differences between multi-authored articles and science
and a single authored article -- single author articles
in music theory to be relevant to its investigation?
```

Objection, form.

MS. QUIMBY:

That was not relevant to our charge. 1 No. Α. Okav. So I also wanted to draw your attention 2 Ο. to the definition of ghostwriting that's on the first 3 page of this article. 4 5 Um-hum. Α. And see if that helps clarify what that meant 6 Q. 7 to the ad hoc panel. I just have highlighted briefly two sentences that I'm going to read into the introductory paragraph, which is in bold. 9 "Ghost writing occurs when someone makes 10 substantial contributions to a manuscript without 11 attribution or disclosure." 12 13 Did I read that correctly? Yes. 14 Α. And then out of this article, on the top of the 15 Q. second column to the right, the lead sentence says, 16 "Ghost authorship exists when someone as made substantial 17 18 contributions to writing a manuscript and this role is 19 not mentioned in the manuscript itself." Did I read that right? 20 21 Yes. Α. Is that really what you understood as anonymous 22 Q. publication? 23 24 No. But part of it was also misappropriation Α.

of authorship. Anonymous is not necessarily the

```
appropriation of authorship. And COPE used this link as an example, not exclusively for the entire world, but this would be an example of how you might tackle the issue of ghostwriting. Ghostwriting, as you pointed out, deals with misappropriation of authorship, including having a senior scholar taking credit for something someone else wrote. We took it as very broadly.
```

- Q. Like a graduate student writes something, and the senior scholar, perhaps the dissertation advisor or something, appro -- (Zoom audio distortion) -- as their then work?
- A. I would think that's what this article deals with. Yes, I think that's what this article is referring to, although there are other forms of misappropriation.
- Q. And that's not so much anonymous publishing, I think you would agree, as it is bordering on plagiarism or research misconduct, right?
 - MS. QUIMBY: Objection, form.
- A. I think -- I think misappropriation can take a variety of forms. Anonymous publishing is, you know -- as I mentioned in the report, does happen.
- Q. Sure. But my follow-up question and the last question on this was did you find any evidence in your investigation that there was ghost publishing, this kind of misappropriation that we've just discussed on the

first page of this article?

- A. Not in terms -- not in terms of how this was defined. But again, it was the link that was provided for informational purposes. We did not use this particular definition that is used here to assess the use of anonymous authorship.
- Q. Okay. But you still found it relevant to refer to standards for medical publications when evaluating the Journal of Schenkerian Studies, correct?
- A. Well, there's a link provided by COPE that here are some suggestions to consider, as an example.
- Q. And this was the one that was on that link page, correct?
 - A. That's right.
- Q. Okay. I am getting back to the famous -- no. Where was my exhibit here? I want to get back to the Ad Hoc Panel Report and have us go through some of the substance of it, Professor Ishiyama.
 - A. Yes.
- Q. And then we may be able to get through this by your 12:00 and hopefully finish. I don't know, but I'm going to try to do that.
 - A. Okay.
- o. And that was Exhibit 3. Okay. So let me ask you, before we go into the substance of the Ad Hoc Panel

```
Report --
1
                          Is this -- what I'm seeing is not
             Excuse me.
2
        Α.
   the Ad Hoc Panel Report.
3
             I'm sorry. It actually is. That was way back
4
        Ο.
   to Exhibit 1, which we were talking about.
5
             Yes.
6
        Α.
7
             Again, this is a perfect example of
        Ο.
   interrupting me if you need clarification. Thank you.
               I wanted to ask if the ad hoc panel
9
   interviewed the graduate student editor, Levi Walls?
10
11
             Yes, we did.
        Α.
12
             And about graduate student editorships, is
        Q.
   that, in and of itself, inappropriate?
13
                    MS. QUIMBY:
                                 Objection, form.
14
             It depends on the journal.
15
        Α.
             What does it depend on?
16
        Q.
             Well, if it is a student journal, I'm
17
        Α.
   familiar with those, we've had experiences of having
18
19
   graduate students being the lead editor. But these often
   only publish student publications, like other graduate
20
   students, other universities, or other undergraduates.
21
   Generally speaking, it's not the case that I'm aware of
22
   that a journal that publishes peer-reviewed articles
23
```

Q. And did you find that to be concealed by the

from senior scholars is edited by a student.

24

```
Journal in any way?
1
                    MS. QUIMBY: Objection, form.
2
             On the webpage, no. But it seemed strange that
3
        Α.
   an editor, a graduate student, would be making
4
   the sole decisions about whether or not it should be
5
   published when the submissions were largely from
6
7
   non-graduate students or senior scholars.
             And did my client, Timothy Jackson, ever give
8
        Q.
   you an explanation for why the Journal of Schenkerian
   Studies had been edited by graduate students?
10
                    MS. QUIMBY:
                                 Objection, form.
11
12
             He said that was the tradition. And there was
        Α.
   no reason to question that tradition, but we found it
13
   odd.
14
             Did you find that it had compromised the
15
        Q.
   quality of articles in the Journal?
16
             We didn't assess the quality of articles in the
17
        Α.
18
   Journal, but we did not think it was best practice since,
19
   I think as we indicated in the report, the editors, or
   the most recent ones, were students of
20
   Dr. Jackson's.
21
             And why was that a problem?
22
        Q.
             Because it doesn't allow for independence of
23
        Α.
   action on the editors in charge of making decisions on
24
25
   publications. It is an odd arrangement.
```

```
And when you interviewed Levi Walls -- I
1
        Q.
   suppose his pronunciation is Levi Walls, I believe, one
2
   witness said. What did he say to the ad hoc panel?
3
             Well, I don't recall his entire testimony,
4
        Α.
   but his -- he did talk about this sense of an unequal
5
   relationship between the editor, which included Benjamin
6
7
   Graf as well, and the editorial advisory board.
   editorial advisory board, if not the editorial board,
   included Dr. Jackson and his colleague, Dr. Slottow.
   Levi Walls, I believe, said that he felt uncomfortable
10
   because he did not have the independence to make
11
12
   judgments and that these were largely -- especially
   regarding the Volume 4, these decisions were not made by
13
   him as editor. And Benjamin Graf also supported that
14
   assessment of a sort of unequal distribution of power
15
   among the editorial advisory board, meaning Dr. Jackson
16
   and Dr. Slottow, and then the editors.
17
18
        Ο.
             Just a point of clarification, I believe you
19
   misspoke and said Volume 4. Did you mean Volume 12?
20
             I mean Volume 12. Yeah, sorry.
        Α.
21
                    Just -- just for the record.
             Yeah.
        Q.
              I think the Ad Hoc Panel Report used the word
22
   or phrase "power differential."
23
24
             Yes.
        Α.
```

Okay. Levi Walls, were you aware that Levi

25

Q.

```
Walls had published a public apology on July 27th about
1
   his role in the Journal of Schenkerian Studies?
2
             He -- he mentioned it in his testimony.
                                                       We did
3
        Α.
   not read that.
4
5
             That was not read?
        Ο.
             No.
6
        Α.
7
             Were you aware that it was in the packet of
        Ο.
   documents that had been provided by Timothy Jackson?
             I think -- well, if you are referring to the
9
        Α.
   apology, he did mention that in his testimony.
10
   had to do -- we had understood it was an apology for
11
12
   what was produced. And that he, as editor, felt some
   responsibility because on paper, he is the
13
   decision-maker.
14
             Sure.
15
        Ο.
             We were not interested in the content of the
16
   journal, only the processes used. We didn't pay a great
17
   deal of attention to that.
18
                      (Deposition Exhibit Number 10 marked.)
19
20
                    MR. ALLEN:
                                I'm going to mark as
21
   Exhibit 10 for the record a Facebook post by Levi Walls
   dated July 27th, 2020.
22
             And this may be very short, Professor Ishiyama,
23
   because I'm just going to ask you if you ever recall
24
25
   seeing this in any form, whether in the -- on Facebook or
```

in a printout or some sort of screen shot, do you recall seeing this? I'm happy to allow you to read it. It goes on for some three pages.

- A. I don't recall, because I usually don't follow Facebook, so I couldn't say that. It may have been in the packet of materials that Levi submitted, but I can't be sure. If you give me a moment, I can read it.
- Q. Why don't you read the first two paragraphs there, and then give me an assessment of whether you had read it as part of the investigation, if you know?
- A. These seem to be introductory paragraphs as opposed to more substantive information. I think I'd probably need to read the rest, too.
- - A. Yes.

- ${\mathbb Q}.$ I'm sorry. I didn't -- of course, maybe this is easier. I just plopped it in the -- I just plopped it in the chat for your review there as well.
- A. Um-hum. Now, was the question do I recognize this or any content or part of it?
- Q. My question is if you remember reviewing this Facebook apology that Levi Walls had published on July 27th, 2020, which was directly before your panel in early August, and if that was part of the

investigation. 1 I think we were aware of it. But as I 2 indicated, much of it related to the content of the 3 Journal issue. 4 5 Okay. Ο. We were not interested in the content of the 6 7 journal issue, only the process that was followed. I see. He does discuss certain things 8 Q. related to the process, however, does he not? Yes, he did. 10 He says, "I have no control over the content of 11 Ο. 12 the journal." Right? 13 That demonstrated the power asymmetry that we 14 had mentioned in the report. And also, the passage that 15 Dr. Jackson is the one who made decisions, not Levi, or 16 Ben Graf before him. 17 18 And here, this second page that you had Ο. perused, he said he gave comments to one author --19 20 Um-hum. Α. 21 -- including that they seemed to devalue other fields of study and that they cherrypicked information to 22

Doesn't that bear on the process for

make Schenker appear in a better light, and that they

confused cultural appropriation with egalitarianism.

23

24

```
publication?
1
                    MS. QUIMBY:
                                 Objection, form.
2
              That was his -- that was his evaluation of the
3
        Α.
   review process. And he did testify.
                                           Much of this, he
4
   repeated --
5
6
              Okay.
        Q.
7
              -- in his testimony to us, so...
        Α.
              Okay, good. That's -- you were aware of it, as
8
        Q.
   you said.
                    MR. ALLEN:
                                Let me see if I can find the
10
   exhibit.
              I'm going to mark for the record Exhibit 11.
11
12
                      (Deposition Exhibit Number 11 marked.)
              This is an email from Levi Walls to you,
13
        Q.
   Professor Ishiyama, on September 30th, 2020.
14
              Um-hum.
15
        Α.
              It's in rather fine print. Just so you know,
16
        Ο.
   there's not much more to this. It's UNT 2533.
                                                     It looks
17
   like you're setting up a Zoom meeting with Mr. Walls at
18
19
   2:15 of that day. And it looks like he sent this to you
   around that time, at least judging from the time stamp of
20
   14:24.
21
              Did I read that correctly?
22
             Can you scroll down again, so I can look at the
23
        Α.
   date and time of the previous one?
24
25
              Sure.
        Ο.
```

```
2:43. We would like to meet with you.
1
             Okay.
        Α.
   And then if you can scroll back for a moment. And that
2
   is military time at 2:24; is that correct? Oh.
3
   September 24th and then September 30th. Yes, okay.
4
5
             So it looks like --
        Ο.
             So it was afterwards.
6
        Α.
7
             Okay, good. That was going to be my question.
        Q.
   Did you receive this before or after the meeting.
             Um-hum.
9
        Α.
             And so he's -- he's basically -- well, have you
10
        Ο.
   had a chance to review this before I ask you questions
11
12
   about it?
             Well, no, I have not reviewed it. He did send
13
        Α.
   it to me.
              I recall that. And I do recall that much of
14
   it was just a repeat of what he apparently had said in
15
   his Facebook post. But you know, this is how we became
16
   aware of it. And he felt like he needed to follow up on
17
18
   our meeting.
             Right. And he said, "I have no control over
19
        Q.
   the content of the journal."
20
21
              Right?
                    MS. QUIMBY:
                                 Objection.
22
              I believe -- I'm not recalling exactly his
23
        Α.
   words, but I think he did seem to suggest that, yes.
24
```

See that, what I've just highlighted?

25

Ο.

```
Yes.
                    I don't recall him specifically saying it
1
        Α.
   to us in our testimony, but he did seem to indicate that
2
   he had little control over the content.
3
             Did -- sorry, go ahead.
4
        0.
             Even as editor.
5
        Α.
             He also said he was -- it was an extremely
6
        Ο.
7
   shameful position to be the editor at the Journal of
   Schenkerian Studies?
                    MS. QUIMBY:
                                 Objection, form.
9
                            I do not recall.
                                               But it's his
10
             He may have.
        Α.
   testimony and it appears here in writing, so...
11
12
             And you received this email, right?
        Q.
             Yes, although I don't recall specifically
13
        Α.
   word for word what the email said, but...
14
             He also went on to give some concrete examples.
15
        Ο.
   For instance here, let's just read this, which I'm going
16
   to highlight briefly for the purpose of our testimony.
17
18
               "For the first few months, the job seemed fine
19
   as I got to work with three articles on various topics.
   Typesetting and offering clarity related edits."
20
             Um-hum.
21
        Α.
             However, after Philip Ewell's SMT presentation,
22
   Timothy Jackson decided that it was the responsibility of
23
   the Journal to, quote, protect Schenkerian analysis.
24
25
               "Although, after serious thought, I
```

```
essentially agreed with Ewell's talk.
                                         It was not up to
   me what did or did not go into the journal. After seeing
   some of the responses, I started to become incredibly
             I gave comments to one author, including
   worried.
   that they seemed to devalue other fields of study, that
   they cherrypicked information to make Schenker appear
   in a better light, and that they confused cultural
   appropriation with egalitarianism. Shortly after, I was
   told by Timothy Jackson (my superior in at least three
   senses: A tenured faculty member who ran the journal and
   also served as my academic advisor) that it was not my
   job to censor people. After this, things continued to
   go in a direction that I found to be disgusting."
              Did I read that correctly?
14
             Yes, you did.
        Α.
```

- Did that implicate the processes by which the 0. journal was published?
- Α. Well, some of it did. Not -- much of it had to do with the content. Again, which I have to reiterate, we ignored the content of the articles and what was being said. But the power differential between Levi Walls who's officially the editor of the journal --
 - Sure. Q.

2

4

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-- and the actual process by which decisions were made, that is -- that is something that we did

1 consider.

- Q. Okay. And did you include that in the Ad Hoc Panel Report?
- A. Yes, the power differential is clearly indicated as a problem with the journal. It has been a problem for some time.
- Q. And it caused him not to be able to assert his own editorial views; is that correct?
- A. That would be true. That's also something that Dr. Graf said as well, the previous editor.
- apparently, address the content of the journal. That was a matter of indifference to you, I suppose. But he also says here that he thought he essentially agreed with Philip Ewell's talk.
- A. That may be true. I do not know what Philip Ewell's talk was about, nor did -- not did most all of our committee -- I think our committee members didn't know either.
- Q. I'm not imputing -- I'm not imputing to your knowledge of -- in fact, you've testified that the knowledge of the actual controversy was a matter of indifference to the panel, right?
 - A. Yes, absolutely.
 - Q. I think you -- so you've already stated that, I

```
think, more than once. So I understand that's your
1
  testimony.
2
             Um-hum.
3
       Α.
             But here, this witness, a very key witness, can
4
       0.
  we agree, the student editor of the journal?
5
             I would say a witness, not a key witness.
6
7
  We had multiple bits of evidence, multiple pieces of
```

- Oh, I don't deny that. But he's --Q.
 - I would not say he's the key witness. Α.
- He was an important witness. Would you 11 0. 12 disagree?
 - I would say he is a witness. Α.
- Just a witness among others, right? 14 Q.
 - Among others, yes. Α.

evidence that we considered.

- That's your testimony today? 16 Q.
- Yes. 17 Α.

9

10

13

15

- And he's telling you, as a member of the ad hoc 18 0. 19 panel, that he essentially agreed with Philip Ewell's talk, and he relates how this complicated his work as 20 the editor of the journal, right? 21
 - MS. QUIMBY: Objection, form.
- I cannot infer that was his meeting, but that 23 was irrelevant to us. 24
- It's certainly part of an editor's task to 25 Ο.

```
shepherd the content of articles, so that they address
1
   the purpose of a journal, its field, topics, ideas in a
2
   field, things of that nature, correct?
3
                    MS. QUIMBY:
                                 Objection, form.
4
             Could you repeat that? I'm not exactly
5
        Α.
6
   sure --
7
             Sure.
                    Let me -- let me draw an analogy.
        Q.
              Is it true, sir, that you can separate content
8
   from the procedures of editorship so cleanly as you seem
9
   to imply? For instance, when you were the editor of the
10
   poli-science journals, political science journals, if
11
12
   someone had sent in an article in sociology, would you
   have exercised content control over those kinds of
13
   submissions?
14
                    MS. QUIMBY:
                                 Objection, form.
15
             If it did fit the mission of our journal,
16
        Α.
   editors do do that. But it has to be the mission of
17
18
   the journal.
19
        Q.
             And so isn't it fair to say that Levi Walls'
   preoccupation with content and the procedures for
20
21
   critiquing authors' work, asking them to make changes,
   isn't that the ordinary, day in and day out workaday
22
   work of a journal editor?
23
24
                    MS. QUIMBY:
                                 Objection, form.
25
             Well, I can't speak for all of the -- you're
        Α.
```

```
asking about my experience?
1
              Yes.
        Q.
2
              No, I think that --
3
        Α.
              Okay.
4
        0.
              -- this rejects if it's inappropriate for
5
   our journal, meaning it does not fit the mission of the
6
7
   journal, or if it's essentially a very poorly written
   piece that would not stand peer review.
              Right.
9
        Q.
              That's not about content.
10
        Α.
              It could be rejected at the gate, so to speak.
11
        0.
12
              Yes.
        Α.
              I'm going to back to Exhibit 3, the ad hoc
13
        Q.
14
   panel.
                    MR. ALLEN:
                                 I'm sorry.
                                             Attorney Quimby,
15
   I realized that I failed to push send.
                                             I not only have
16
   to drop it into the chat, but now, I'm going to push
17
18
   send. Sorry about that.
              I just sent the Exhibit 3, the Ad Hoc Panel
19
        Q.
   Report, over.
20
21
              Okay.
        Α.
              Now, this is -- I'm forwarding -- I'm
22
        Q.
   fast-forwarding to a section of the Ad Hoc Panel Report
23
   which begins with this heading: The Editorial and Review
24
   Processes Employed for Volume 12.
25
```

Do you remember that this section was drafted as part of the Ad Hoc Panel Report of November 25, 2020?

3

Yes, it was. Α.

4

5

And just scrolling through, you have a Ο. subsection: Editorial and Review Processes, correct?

6

Yes. Α.

7

And then this section, before it closes and Ο. moves on to the publication and anonymously authored contribution, relates a relatively peculiar episode.

9 10

Yes. Α.

11

12

13

Can you read the two paragraphs that begin, Ο. "Levi Walls informed the panel," through the end of this subsection?

14

15

16

17

"Levi Walls informed the panel that he read each piece, but had multiple concerns, as the editor, about proceeding with several of the contributions. He said he shared these concerns with Dr. Benjamin Brand (the Division Head of MHTE) and Dr. Graf, and then However, he said these

18

19 directly with Dr. Jackson.

concerns were dismissed by Dr. Jackson."

20

21

"Mr. Walls reported to the panel that he raised concerns to Dr. Jackson about the content of the

22

pieces as well as the quality of writing in February 23

24

2020. He stated that after raising concern, he was taken into Dr. Jackson's car, where Dr. Jackson told him that

```
it was not his 'job to censor people' and was told not to
1
   do it again. He said Dr. Jackson informed him that since
2
   these were senior scholars, their reputations were enough
3
   to vet them.
                 Dr. Graf confirmed that Levi Walls shared
4
   information about his encounter with Dr. Jackson around
5
   the time of its occurrence. This was followed by the
6
7
   final decision, made by Dr. Jackson (according to both
   Dr. Graf and Mr. Walls) to proceed with the publication
   of several of the pieces without substantial
   modifications."
10
```

And so this touches on both consent and Ο. editorial practices. And I was just wondering what your understanding was at the time of what he was being asked to censor or not censor. What was this issue of censorship about?

> MS. QUIMBY: Objection, form.

- I do not know what Dr. Jackson meant, censored. Α.
- Well, what was -- what was your understanding Ο. of what student editor Levi Walls was bringing to Dr. Jackson for clarification about what should be censored or not censored?

MS. QUIMBY: Objection, form.

- I do not -- I do not know. Again --Α.
- Okay. Q.

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

-- I think this was entirely on process. Α.

I see. 1 Q. Not on content. 2 Α. And I've always been puzzled by this section, 3 Q. Professor Ishiyama, because is it ever the job of an 4 5 editor of a journal to censor people? MS. QUIMBY: Objection, form. 6 Again, it could depend on what you mean by 7 Α. censor. Well, you put it in your report, so that's 9 Ο. why I'm asking you. 10 11 Well, no. This is a quote. It's in the Α. 12 report, but it's a quote from what Dr. Jackson was reported to say. 13 Sure. 14 Ο. I don't think we need -- I would ask perhaps 15 the plaintiff to define that. 16 Well, they had a chance to depose Professor 17 Q. But again, we're talking about the Ad Hoc Panel 18 Jackson. Report. 19 And I'm asking --Okay. This is a quote. Again, this is a 20 Α. 21 quote. Oh, I understand. It's a quote that you placed 22 Q. 23 in the Ad Hoc Panel Report, right? 24 As dutifully reflecting what the testimony Α.

said.

Of Levi Walls.

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- A. Of Levi Walls, yes.
- Q. And now, I want to ask a follow-up question.

In your experience and expertise as an academic editor of journals, can you identify a context in which it's appropriate for an editor to censor people?

MS. QUIMBY: Objection, form.

- A. I don't think -- it depends on what you mean by censor. If you mean the job is to edit and marshal the peer-review process, then yes, that is the responsibility of the editor. But censorship is not something we consider.
- \circ . Is it -- is it appropriate for an academic editor to censor for viewpoints?
- A. I'm not going to venture an opinion. I would, myself, not do that. I don't think censorship is part of the discussion. Rather, it's the editor's job to make sure the pear-review process had integrity.
 - ي. 0kay.
 - A. That it is peer reviewed.
- Q. And not to short-circuit the peer-review process by telling an author that they may or may not express a certain view?
- A. Well, I mean, it depends. If this is -- if the argument is that these pieces were edited --

editorial review, then the editor does have the responsibility to review a piece. But I don't understand the status of these articles, if they were peer reviewed or if they were editor reviewed. It seems confusing.

- Q. I understand. Sure, I understand. Although you were given an extensive packet of e-mails that were, more or less, comprehensive, detailing the communications between the editorial staff that led to the publication of these articles, right?
 - A. Yes.

- - A. It did not.
- Q. There was a paper delivered by this public intellectual music theory professor from New York named Philip Ewell. He gave a plenary presentation at the Society for Music Theory that was very well received, but nonetheless, controversial. Then the call for papers went out for the Journal of Schenkerian Studies for soliciting responses to this article -- or excuse me, to this presentation at this Society for Music Theory. The papers that were published in Volume 12 in the Symposium were roughly split between people who were pro-Ewell and people who were anti-Ewell.

```
Do you have any information to suggest that
1
   my summary to you is wrong in any way?
2
             I have no idea what the content of the journal
3
        Α.
   was.
4
             Okay, good.
5
        Q.
             I don't even know if some were pro. I have not
6
7
   read a single piece. I'm not even sure what Philip Ewell
   said, as I've said before.
             So you didn't read a single one of the
9
        Ο.
   contributions in Volume 12 of the Journal of Schenkerian
10
   Studies?
11
12
             No, no.
        Α.
                   MS. QUIMBY:
                                 Objection.
13
              Renaldo, please. I think I'm having a -- on
14
   my end, I'm having freezing. Is that mine freezing? I
15
   can see myself kind of jump around on the screen. I just
16
   want to kind sure my objections were heard. I don't know
17
18
   that I was able to get them in because of the --
19
                   MR. ALLEN:
                                I'm seeing you freezing, too,
   Mary. So I know what you mean. If you want to -- I
20
                                  Now, she's totally frozen.
   don't know. Was it to form?
21
                   THE VIDEOGRAPHER: Do you want to go off
22
   the record?
23
                   MR. ALLEN:
24
                                Sure.
                   THE VIDEOGRAPHER: Off the record at
25
```

11:39. 1 (Recess taken) 2 THE VIDEOGRAPHER: The time is 11:48. 3 We're on the record. 4 5 I think we were here. Thanks for your patience, Professor Ishiyama. I'm trying to share again 6 7 Exhibit Number 3. I believe we were here, right? And we were talking about the car story? Yes. 9 Α. Okav. Just about Professor Graf and his 10 role in editing the journal, how did you understand 11 Professor Graf's role in your investigation? 12 Professor Graf, who had been a graduate student 13 Α. editor prior to getting his Ph.D. and then being 14 appointed lecturer in the department, was the editor up 15 until Volume 12. And he was also part of the editing of 16 the three articles that appeared in the volume that had 17 18 nothing to do with the -- whatever it is -- Symposium. 19 Q. Um-hum. And then Levi Walls took -- was responsible for 20 Α. the remaining articles that appeared in the Symposium. 21 And did you understand from Professor Graf that 22 Q. he had also suffered from what you called a power 23 differential and had sort of no sort of authority to 24 25 discuss or do the normal work of editing with the

```
journal?
1
                    MS. QUIMBY:
                                 Objection, form.
2
             Dr. Graf had mentioned the power differential.
3
   And he said that it was problematic, as I recall.
                                                        Ι
4
   would not know if suffering was the word he used, but he
5
   did mention that as part of an issue.
6
7
             Did he say words to the effect that he felt
        Ο.
   he couldn't say no?
                    MS. QUIMBY:
                                 Objection, form.
9
             I do not recall if he said those words.
10
                                                        But he
   did feel that there was some asymmetry in terms of
11
12
   decisions about editing journal articles.
                    MR. ALLEN:
                                Okay. Well, let me take
13
   this down and put it in the chat.
                                       I think is what I
14
   want. Let me introduce the next exhibit. Are we on
15
   Exhibit 12 for the record?
16
17
                    THE REPORTER:
                                   Yes.
18
                      (Deposition Exhibit Number 12 marked.)
19
        Ο.
             I've marked an exhibit as Exhibit 12, Professor
             And I'm going to also try to put it in the
20
   chat here for your counsel.
21
              This is -- Exhibit 12 is an email from Timothy
22
   Jackson to you, Professor Ishiyama, as well as the other
23
   members of the ad hoc panel, on October 17, 2020.
24
25
              Did I read that right?
```

```
Yes.
1
        Α.
              And he purports to attach letters and
2
        Q.
   documents.
3
              Do you see that?
4
             Yes.
5
        Α.
              Do you remember getting this email?
6
        Q.
7
              No, I do not. I mean, we probably did receive
        Α.
        It's a fairly short message, and attachments, but I
   it.
   do not recall specifically getting it. But I do believe
   we did.
10
             And the attachments are -- it looks like
11
        Ο.
   someone named Chaouat -- I don't even want to attempt
12
   to pronounce that name. I'm looking at the first
13
   attachment.
14
               The second attachment is Editorial Process of
15
   JSS Volume 12 condensed.
16
17
               There's a Revised Levi Walls Documentation,
   October 4th, 2020, document.
18
              And letter to UNT committee.
19
              Do you remember receiving attachments that
20
   are described in that attachment line?
21
                    MS. QUIMBY: Objection, form.
22
              I don't specifically recall.
                                             But the -- it was
23
   sent to us, and I'm sure we read it.
24
25
              Now, I'm not trying to catch you out. You said
        Ο.
```

```
John Toaru Ishiyama, Ph.D.
                                            9/27/24
   this is a short message, but I just wanted to call your
   attention to the fact that it's actually a very, very,
2
   very long message because the attachments are so long.
             Yes.
4
        Α.
             So again, I'm not trying to hoodwink you there,
5
        Ο.
   but there is a large number of documents. And do you
6
   remember looking through these documents?
             I do recall the email chain, which was
8
        Α.
   purportedly to document the review process for Journal
   Volume 12. I do recall that we went through this fairly
   carefully, including using text analysis, looking for
12
   mentions of the term "commentary," which is something
   that Dr. Jackson said this was. But yes, we do look at
13
   this.
14
             Is the -- is the Journal of Schenkerian
15
16
```

7

10

11

17

18

19

20

21

22

23

24

- Studies, Volume 12, did it publish those articles that were at the center of the controversy as, quote, commentaries?
- I do not recall. I remember that the journal itself indicated that it was a Symposium. That, we knew.
- Now, I just want to call your attention briefly Ο. to a few emails between professor -- excuse me, Levi Walls, the student editor of the Journal or the oncoming student editor, and Professor Timothy Jackson at the inception of the Symposium that was eventually

```
published in Volume 12. I'm going to call your attention
1
   to UNT page 2705. And my question is, how will I
2
   navigate there. Here we go. I've -- these are
   represented by Professor Jackson as emails between him
4
   and Mr. Walls.
5
             Um-hum.
6
        Α.
7
             Do you see those in Exhibit 12?
        Q.
8
             Are you -- there are two of them.
        Α.
             Yes.
9
        Q.
             One is November 15th, 2019, at 10:40 a.m.
10
        Α.
   And then there's one above that says "to me."
11
12
             Yes, and do you see, this is by Levi.
        Q.
             Yeah, yeah. Yes.
13
        Α.
             Here -- well, I'll ask you to -- and this is
14
        Ο.
   also by Mr. Walls. Can I ask you just to read these two
15
   emails?
16
             Would you like me to start with the top one and
17
        Α.
   then move down?
18
             It seems that that is first in time, so let's
19
        Q.
   go with that.
20
21
             Okay.
        Α.
               "Dear Dr. Jackson. Hope you are well! When
22
   would you like to get together to talk about Bach?
23
   Unfortunately, I haven't had any time devoted to Berlioz
24
   lately, as I've been swamped with classes and private
25
```

```
teaching. But I would be happy to discuss the Passion
1
   in more detail. Of course, you've dedicated considerably
2
   more time to it than I have, but I can surely follow you
   and share any thoughts/questions! At the moment, I can't
4
   leave Denton Thursday through Sunday because my wife
5
   takes the car to work all day. But I can travel Monday
6
7
   through Wednesday, or meet on campus any day."
              The second email, also entitled "to me" from
8
   November 15th, 2019 at 10:40 a.m.
9
              It says, "I would also be very interested in
10
   discussing a particular Schenker paper from SMT. You've
11
12
   likely heard about it, as it caused quite a stir. I was
   very ambivalent about it because it suggested that
13
   analysis that utilizes levels of hierarchy is inherently
14
   racist, which strikes me as naive.
                                        Reinhold --"
15
16
             You can stop reading there.
        Ο.
             Okay.
17
        Α.
18
             So the paper he's referring to is the paper
        0.
19
   by Philip Ewell delivered at SMT, which in the email
   we examined that he sent to the ad hoc panel here,
20
   Fxhibit 11 --
21
             Um-hum.
22
        Α.
             -- he declared that he essentially agreed with.
23
        Ο.
   Do you remember him saying that to the ad hoc panel in
24
   that email?
25
```

```
MS. QUIMBY:
                                 Objection, form.
1
             I do recall him recounting that, yes.
2
        Α.
             And here, he says it strikes him as naive,
3
        Q.
   correct?
             In Exhibit 12, on UNT page 02705?
4
                    MS. QUIMBY: Objection, form.
5
             Let me examine. Naive. Where -- okay,
6
   "Which strikes me as naive."
7
              Yes, I see that.
8
             Thank you. Now, of course, this wouldn't
9
        0.
   have been considered relevant by the ad hoc panel,
10
   that he seemed to be misrepresenting a paper that he
11
   essentially agreed with. But in internal correspondence
12
   within the journal, he characterized the same paper as
13
   naive.
14
                    MS. QUIMBY:
                                 Objection, form.
15
             Is there a question? Was there a question?
16
        Α.
   I didn't hear it.
17
             Yes. This -- this kind of information would
18
        0.
19
   not have been considered relevant by the panel, the ad
   hoc panel, right?
20
21
             No. no.
        Α.
                    MS. QUIMBY:
                                Objection, form.
22
                   That's all I need to know.
23
        Α.
             Okay.
              There's another email. This one, a few days
24
   later, on November 18th, 2020 -- excuse me. This is
25
```

```
2019.
          And I'm just going to represent to you that this
1
   is within days of the presentation of Professor Philip
2
   Ewell's paper at the Society for Music Theory, which was
3
   a plenary talk, which kicked off this entire controversy.
4
5
              And here, he says that "The paper's willful
   ignorance of Schenker's Jewish identity is indeed deeply
6
7
   troubling. That seems to mark it as implicitly
   antisemitic at the very least."
              Did I read that correctly?
9
                                 Objection, form.
10
                    MS. QUIMBY:
             Yes.
11
        Α.
12
             And in your view, is that consistent with
        Q.
   someone who essentially agrees with a paper, that they
13
   declare it's implicitly antisemitic?
14
                    MS. QUIMBY:
                                 Objection, form.
15
             We did not consider this. It was beyond the
16
        Α.
   scope of our investigation.
17
18
             Okay. This was considered irrelevant, right?
        0.
19
             Yes, it was.
        Α.
                    MS. QUIMBY:
                                 Objection, form.
20
             He also says here, "But his" -- meaning Ewell's
21
        0.
   -- "claim that the entire theoretical world view, and by
22
   extension, those who helped spread it, is racist becomes
23
   very problematic when we consider the intimate connection
24
25
   between Schenkerian analysis and the Jewish identity."
```

```
This observation was also irrelevant to the
1
   ad hoc panel, right?
2
             Yes.
3
        Α.
                                 Objection, form.
                    MS. QUIMBY:
4
             Skipping down, next, we have a November 19th,
5
        0.
   2019 email in which Timothy Jackson raises the issue.
6
               "For the first time, it occurred to me that
7
   it might be appropriate for the journal to solicit
8
   responses."
9
              Did I read that correctly?
10
             Yes.
11
        Α.
12
             Let me ask you a few questions about
        Q.
   solicitation.
                  Is it appropriate for editors of
13
   peer-reviewed journals to solicit submissions of
14
   articles?
15
                    MS. QUIMBY:
                                 Objection, form.
16
17
             Yes, but not responses to -- I mean, I don't
        Α.
18
   know about appropriate. But this is generally we solicit
   contributions for special issues. That is common.
19
                     I'm just going to skip down. Here's
20
        Ο.
             Okay.
   another -- in red, another email from Levi Walls
21
   November 19.
                 November 19, 2019.
22
              He says, "Dear Dr. Jackson, I agree that a
23
   response in the JSS would be very appropriate.
                                                     It would
24
25
   be nice to have it for the upcoming issue, although it
```

```
is very forthcoming (around mid-December). A response in
1
   issue 13 would, of course, be quite late. Did you have
2
   any particular Schenkerians in mind?"
              Did I read that correctly?
4
             Yes.
5
        Α.
             And I have a question about what you've
6
7
   characterized as a, quote, power differential, that
   apparently you believe, if I read the Ad Hoc Panel Report
   correctly, infected the relationship between Professor
   Jackson and Levi Walls. Given the give and take between
10
   these two music theorists, one, the professor, the other,
11
12
   the student editor, does this indicate that Mr. Walls had
                Is it consistent with what he said in his
   no control?
13
   email to you that he had no control?
14
                   MS. QUIMBY:
                                 Objection, form.
15
             I think it is indicative of the power
16
        Α.
   differential in the sense that Mr. Walls, even if he did
17
18
   object, would not have expressed it to his dissertation
             That is the power differential.
19
             So that -- so he was either concealing things
20
        Ο.
   from Professor Jackson -- well, let me ask this in two
21
22
   parts.
              You believe it's possible that the power
23
   differential caused him to conceal things from Professor
24
   Jackson?
25
```

MS. QUIMBY: Objection, form. 1 I don't know about concealing, but he may not 2 have sought to antagonize Dr. Jackson. 3 And in that -- if that same -- or let me strike 4 Ο. that, please. 5 Did you consider whether there was a power 6 7 differential that prompted Levi Walls to change his story on July 27th of 2020? MS. QUIMBY: Objection, form. 9 I can't speculate on that. But the fact 10 that this power differential existed between a graduate 11 12 student and his dissertation advisor, that affected Dr. Graf as well. 13 14 Did you ever -- sorry. Ο. So I can't say what it caused him to do. 15 So do you recall reading any messages from Levi 16 Ο. Walls in which he was concerned about the future of his 17 career when the Journal of Schenkerian Studies was 18 19 attacked by almost the entire Society for Music Theory? MS. QUIMBY: Objection, form. 20 21 I don't specifically recall. I do recall that Α. there was something to that effect, but I cannot quote 22 But there definitely was some concern you when or where. 23 expressed by this. 24 25 And was that prompted by a fear -- in your Ο.

```
understanding, would that have been prompted by a fear
1
   that he would have been retaliated against in some way by
2
   Professor Jackson?
3
                   MS. QUIMBY:
                                 Objection, form.
4
             I cannot say that he used the term
5
   "retaliation," but I think there was some -- he did use
6
   the term "pressure." Both he and Dr. Graf used to term
7
   "pressure."
8
             But the only pressure they identified was the
9
        Ο.
   pressure supposedly exerted by Dr. Jackson, right?
10
11
                   MS. QUIMBY: Objection, form.
             That, I cannot say. I think that Mr. Walls did
12
        Α.
   mention feeling discomfort as to the controversy,
13
   although we did not consider the, you know, substance
14
   of the controversy.
15
             Oh, of course. You didn't consider whether the
16
        Ο.
   scholars who were objecting to the publication of
17
   the Journal of Schenkerian Studies and Volume 12 might
18
19
   have been put -- might be putting pressure on Levi Walls?
                   MS. QUIMBY:
                                 Objection, form.
20
             We -- we don't know. We had no evidence to
21
        Α.
   that effect.
22
             And that's fine. And this correspondence
23
        Ο.
   in Exhibit 12, which we've just read on UNT page 2709,
24
   that was also irrelevant to the ad hoc panel's
25
```

```
investigation?
1
             Yes.
        Α.
2
                    MS. QUIMBY:
                                 Objection, form.
3
             Thank you. Was evidence that Levi Walls was
4
        Ο.
   lying about the episode in the car that you summarized in
5
   the Ad Hoc Panel Report, would that have been relevant to
6
7
   the ad hoc panel?
                    MS. QUIMBY:
                                 Objection, form.
8
              In a bit, although it did demonstrate the power
9
   differential. But there was other things that we
10
   considered for that. And also, it was minor compared
11
12
   to the other problems we had pointed out with JSS.
             And for you, in that room when you
13
        Ο.
   interviewed -- I guess it was a Zoom room when you
14
   interviewed --
15
16
             It was a Zoom, yes.
        Α.
              -- Professor Walls -- I mean, Levi Walls. Was
17
        Ο.
18
   there a power differential between you and Mr. Walls?
              I have no control over Mr. Walls' future. I
19
   would say not. I'm not on his committee. I'm not in
20
   his field.
               I don't review his work. I'm not his
21
   dissertation chair, so I do not believe he felt a power
22
   differential.
23
             You don't -- you don't believe there was
24
        Q.
   a power differential between you, a distinguished
25
```

```
university research professor, and a graduate student,
1
   Levi Walls?
2
                    MS. QUIMBY: Objection, form.
3
              No.
4
        Α.
              Okay.
5
        Q.
              No, I do not.
6
        Α.
7
              And that was not considered relevant in your ad
        Ο.
   hoc panel investigation?
                                 Objection, form.
9
                    MS. QUIMBY:
              No, it was not.
10
        Α.
              Is there a power differential between
11
        Ο.
12
   Mr. Walls and Dean John Richmond of the College of
   Music?
13
                    MS. QUIMBY:
                                 Objection, form.
14
              I cannot answer that. I do not know.
15
        Α.
              You don't know if there's a power differential
16
        Ο.
   between the dean of a College of Music and a graduate
17
18
   student within the College of Music?
19
                    MS. QUIMBY:
                                 Objection, form.
              I can't speculate. But I would imagine if the
20
        Α.
   dean had control over funding and other sources that he
21
   depended on, perhaps so. But I cannot testify to that.
22
   I do not know their relationship.
23
              Can you testify to whether there was an
24
        Q.
   inherent power differential between the division head of
25
```

```
MHTE, Benjamin Brand, and a graduate student within MHTE,
1
   Levi Walls?
2
                    MS. QUIMBY:
                                 Objection, form.
3
             I do not know for sure since I'm not familiar
4
        Α.
   with their relationship.
                              But again, the same answer as
5
   it applied to the dean. If the division head had some
6
7
   influence over funding or other things, perhaps so.
                                                          But
   the division head is not the student dissertation chair.
             I didn't suggest he was. I was just asking
9
        Ο.
   about whether or not there was a power differential,
10
   right? And you're saying you don't -- you can't really
11
12
   speak to that?
             No. Yes.
13
        Α.
             Again, in this packet of information you got
14
        Ο.
   from Timothy Jackson, let's see. One last question on
15
   this, and I think we will be done with this packet.
16
              I'm going to call your attention to UNT 2663.
17
18
   Do you see how this has Call For Papers here?
19
        Α.
             Yes.
             And again, we had talked about the call for
20
        Ο.
   papers that was sent out by the Journal of Schenkerian
21
```

Studies earlier. And you had testified, I believe, that you could no longer remember whether you had or had not read it, right?

22

23

24

25

This was part -- this is part of the big Α.

```
collection of emails that Dr. Jackson sent to us?
1
             Yes.
2
        Ο.
             We did review this.
3
        Α.
             Okay. So you recall reviewing the call for
4
        0.
   papers?
5
                                 Objection, form.
                    MS. QUIMBY:
6
7
              Yes, although not in great detail, but we did
        Α.
   review this.
9
        Q.
             Okay.
              Especially that referred not to the substance,
10
   but only the process.
11
              I understand. And did you understand it was
12
        Q.
   sent to a server list in which members of the Society for
13
   Music Theory all had access?
14
                    MS. QUIMBY:
                                 Objection, form.
15
             We did not consider that, but it does appear so
16
        Α.
   on the heading.
17
18
             And one of the allegations, just flipping back
        Ο.
   over to -- I believe it was Exhibit 3, is it not? The
19
   Ad Hoc Panel Report? No, wait. Yes, it is.
20
21
               I'm running through it to the exhibit that was
   the UNT faculty statement. Do you see this in the ad hoc
22
   panel report, which you attached as Exhibit 4 to that
23
   report?
24
25
                    MS. QUIMBY:
                                 Objection, form.
```

```
Yes, I see it.
1
        Α.
             And here, it says, "He" -- meaning Philip Ewell
2
   -- "was not afforded the opportunity to respond
3
   in print."
4
              Did I read that correctly?
5
             Yes.
6
        Α.
7
             And so I'm flipping back over to our
        Ο.
   Exhibit 12, the call for papers. Isn't that a false
   statement if Philip Ewell received the call for papers?
9
   Was there anything about that, that didn't invite him to
10
   respond?
11
12
                    MS. QUIMBY:
                                 Objection, form.
             I don't believe so. Because generally, when
13
        Α.
   you have a response or rejoinder, the off-beat person is
   directly invited by the editor, not in the general call
15
   to the society.
16
             So you're saying it was not best practice to do
17
        Q.
18
   it that way, right?
19
                    MS. QUIMBY:
                                 Objection, form.
             I didn't hear the question. Could you repeat
20
        Α.
   that? You broke up.
21
             Yeah, sorry. I'm just trying to summarize.
22
   Your testimony is that it was not best practice to send
23
   out a call for papers rather than a direct invitation?
24
25
                    MS. QUIMBY:
                                 Objection, form.
```

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13

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15

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19

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24

25

Yes.

Α.

```
We did not say that one substituted for the
     Α.
       But generally, what we had said is the invitation
other.
should go to the author, and there should be author
specific an opportunity for a rejoinder.
          Okay. And -- but it's not true, what the
     Ο.
faculty statement says, that Philip Ewell was not
afforded an opportunity to respond in print, was it?
                MS. QUIMBY:
                             Objection, form.
          I can't testify to that. But I think they
     Α.
meant he was not directly contacted by the editor.
          But they didn't write that in their faculty
statement that you attached as an exhibit to the Ad Hoc
Panel Report, did they?
                MS. QUIMBY:
                             Objection, form.
          I cannot surmise that -- what their intention
was and how they expressed it, but...
          I'm not asking about that. I'm asking about
     Q.
them not writing that -- the statement is very factual
and clearcut.
           They write in Exhibit 3 in the UNT faculty
statement. "The fact that he was not afforded the
opportunity to respond."
           Right? They say, "He was not afforded the
opportunity to respond, " right?
```

MS. QUIMBY: Objection, form. 1 That's not qualified by saying he was not 2 Q. offered the opportunity to respond in print by engraved 3 invitation, by direct solicitation, by direct invitation. 4 It doesn't have anything to do -- it doesn't say anything 5 about that, does it? 6 7 MS. QUIMBY: Objection, form. Well, apparently, it doesn't. But I -- again, 8 Α. best practice would be that the editor directly invites the person who's going to author the rejoinder. And that 10 a general call to the society is really not -- it's a 11 12 poor substitute. Okay. And you knew from your interviews and 13 Q. perusal of the records given to you by Timothy Jackson 14 that the Journal had nothing against inviting Professor 15 Ewell to respond to Volume 12, right? 16 17 MS. QUIMBY: Objection, form. 18 Had nothing against it. I think we did find Α. actually that they did not invite directly Professor 19 Ewell. 20 21 Do you remember discussing that they had Ο. entertained the possibility of inviting Professor Ewell 22 to contribute to the next volume, so that he could 23 address the responses? 24 25 MS. QUIMBY: Objection, form.

```
I recall in our interviews, Professor Slottow
1
        Α.
   had mentioned that. Yes, I do remember that.
2
             Okay, okay. I'm going to pull these down
3
        Ο.
   for a sec.
4
5
              Just one more thing, if you don't mind.
   know it's past 12:00. But I believe I can get to one
6
7
   last thing, Professor Ishiyama, and we will be done.
   you mind -- do you mind going forward with that, or do
   you want a break?
              No, we can -- we can go forward with it.
10
                    MR. ALLEN:
                                Okay. I'm going to mark for
11
   the record Exhibit 13.
12
                      (Deposition Exhibit Number 13 marked.)
13
             And I'm going to plop it in the chat as well.
14
        Ο.
   Now, I've got to get my share thing going on.
15
              This is an email from UNT's records disclosed
16
   to us, I believe, from your file.
17
18
        Α.
              Um-hum.
             Given the page number, UNT 3435.
19
        Q.
              Yes.
20
        Α.
21
              And do you remember drafting this email,
        0.
   Professor Ishiyama?
22
23
              Yes.
        Α.
             What was the purpose of this email?
24
        Q.
25
              Professor Bakulina, in an unsolicited way, had
        Α.
```

```
an event that happened. We are required by law to report
1
   this.
2
             What is "this"?
3
        Q.
             And that it happened.
4
        Α.
             Can you describe "this" for the record, what
5
        Ο.
   you mean by that?
6
7
        Α.
             This event that she shared with us, which had
   to deal with some instances of unethical behavior towards
   her as the email indicates. She recounted that to us in
   our interview with her, and we are required by law to
10
   report this. So I dutifully did that.
11
12
             So she recounted that Timothy Jackson had made
        Q.
   her feel, quote, uncomfortable on several occasions.
                                                           Ts
13
   that it?
14
             Yes.
15
        Α.
             And I'll get to the second part in a second.
16
        Ο.
                           Is there a rule or policy against
17
              All right.
18
   making a colleague feel uncomfortable?
19
                    MS. QUIMBY:
                                 Objection, form.
             That, I cannot say. But we are required for
20
        Α.
   any report related to these matters to report it to the
21
   Title IX Coordinator. That is required. It's been very
22
   clear to us that we are required to do that.
23
             And what is the unethical behavior towards her
24
        Q.
```

that you were reporting?

25

A. I do not recall exactly. She discussed some things. We told her that we would have to report this to the Title IX Coordinator and she continued. I do not know the -- I don't recall the details.

1

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- Q. And there were also, supposedly in 2016, which would have been four years before this time, inappropriate questions and comments about her health. Is that what it says here?
- 9 A. I recall she did say something to that effect.

 10 I do not remember the details.
 - Q. What makes a question or comment about someone's health, quote, inappropriate?
- 13 A. I do not know. But that was her claim. And 14 we're required by law to report it.
 - Q. What law are you referring to?
 - A. I do not -- that, I cannot quote. But we have been told as faculty members, that if there are reports of any kind of harassment, that we need to report that, and we're required to report it. That was -- that was shared with me. I cannot tell you the exact.
 - Q. How did you interpret -- so you interpret anytime someone makes comments that makes someone feel uncomfortable as harassment? Is that your testimony?
 - A. No. We interpreted her report to us. We just said she made a report to us. We're required to report

```
We do not judge what the content is. That is not
   it.
1
                It would be the Title IX Coordinator.
   our place.
2
             And I guess it's supposedly harassment where
3
        Ο.
   you have a reporting that it was discussed with her the
4
   confidential proceedings about her interview for the
5
   position she currently held at that time?
6
7
                    MS. QUIMBY:
                                 Objection, form.
              Is that what you understood you were reporting?
8
        Q.
              We are reporting what she related to us after
9
        Α.
   we told her that it would have to be shared with the
10
   Title IX Coordinator. We're compelled by law to do so.
11
12
              And yet you can't name the law that compels you
        Q.
   to report the time --
13
              I'm not a lawyer, sir.
14
        Α.
              Can I -- can I --
15
        Q.
              So I do not know.
16
        Α.
              You're going to have to let me finish my
17
        Q.
18
   question.
             Well, I'm --
19
        Α.
              I'm trying not to speak over you, and I'd just
20
        Q.
   appreciate that you let me finish.
21
              Certainly.
22
        Α.
```

report someone feeling, quote, uncomfortable?

MS. QUIMBY:

23

24

25

Q.

So you can't name the law which required you to

Objection, form.

```
No, I cannot.
                             I cannot specifically cite the
1
        Α.
         But we were told, and in our training, that we
2
   would have to deal with this -- deal with this directly.
3
   My colleagues all understood it that way, too.
4
5
             Oh, I'm sure they did. This was signed.
        Ο.
   Well, it's not signed by all of them.
                                           It's signed by
6
7
   you or at least in the signature block. But it's cc'd
   to all of them, correct?
             That's correct.
9
        Α.
             So they were all behind reporting Timothy
10
        Ο.
   Jackson for making someone feel uncomfortable?
11
12
                    MS. QUIMBY:
                                 Objection, form.
             As she related to us, the words she used.
13
        Α.
             Is it that -- the woman that made this
14
        Ο.
   reportable to the Title IX Coordinator?
15
                    MS. QUIMBY:
16
                                 Objection, form.
17
             No.
                  This was related to us, and we had to
        Α.
18
   report it.
             Okay. So when Ellen Bakulina signed a
19
        Q.
   petition, which we've already reviewed, which endorsed
20
   the call for action of graduate students who were calling
21
   for Timothy Jackson to be fired, don't you think that
22
   made Timothy Jackson feel uncomfortable?
23
                    MS. QUIMBY:
24
                                Objection, form.
             I would not know.
25
        Α.
```

1	Q. That never occurred to you to ask?
2	MS. QUIMBY: Objection, form.
3	A. No, it would not. It was irrelevant to our
4	investigation. We were compelled, again, to report this
5	by law. Even though I can't cite the law, that is what
6	had been communicated to us by the University.
7	Q. If it is it just because someone tells you
8	something, you are required to report? Is that your
9	understanding?
10	A. That is our understanding. We do not make
11	judgments about the content.
12	Q. Are you not required to report it if
13	something comes to your attention
14	MS. QUIMBY: Objection, form.
15	Q whether they tell you it or not, that you
16	learn of something? You're not required to report it if
17	you learn of something? Only when someone tells you
18	something, even if it be secondhand?
19	MS. QUIMBY: Objection, form.
20	A. I think it depends. If it's specifically
21	directed to us to report it
22	Q. Sure.
23	A then we don't really go through hearsay or
24	other things, I mean, or rumors. This is something that
25	we did because she was aware that we would have to do

```
this, and we did.
 1
              Did she ask you to report it?
 2
        Q.
              We told her we had to.
 3
        Α.
                                  Objection, form.
                    MS. QUIMBY:
 4
              Did she ask you to report it?
 5
        Q.
                    MS. QUIMBY:
                                  Objection, form.
 6
 7
              No, but we said we had to.
        Α.
              And you have described repeatedly that the
8
        Q.
   scope of your investigation was very narrow, focused on
   the publication and review in the Journal, right?
10
              Yes.
11
        Α.
12
              But when someone reports vague feelings
        Q.
   of discomfort, you reported that to the Title IX
13
   Coordinator, so that Timothy Jackson faced a Title IX
14
   complaint, correct?
15
                    MS. QUIMBY:
16
                                  Objection, form.
              Yes, as we were required again --
17
        Α.
18
              Okay.
        Q.
19
              -- by law.
        Α.
              Sure. You don't feel you were required by law
20
        Q.
   to report threats of retaliation against Timothy Jackson
21
   for violation of his First Amendment rights, did you?
22
23
                    MS. QUIMBY:
                                  Objection, form.
24
              That was beyond the scope of our investigation.
        Α.
   We only did this because Professor Bakulina told us
25
```

directly. 1

2

4

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8

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17

18

19

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21

22

23

24

25

And Timothy Jackson told you directly that Ο. he was facing threats of retaliation of his First Amendment rights, did he not?

MS. QUIMBY: Objection, form.

- Which was irrelevant to our investigation Α. again.
- And the First Amendment of law -- okay, sorry. Q. I over-spoke. Go ahead.
- That was irrelevant to our 10 Yeah. investigation. Title IX, I think, and I cannot be sure, 11 12 but it's specific to these kinds of issues of harassment and sexual harassment. We have no -- there's nothing 13 that talks about threats because of First Amendment 14 freedom. I do not know the law specifically, but that's 15 what we were told. 16
 - You do know the First Amendment is a law of the Ο. United States, right?
 - Absolutely. It is part of the First Amendment Α. of the Constitution.
 - And you did know that there was an academic Ο. freedom policy at the University of North Texas?
 - Yes, was. Α.
 - Timothy Jackson did complain to you that his Q. rights under that policy were being violated, right?

MS. QUIMBY: Objection, form.

- A. But that was irrelevant to our investigation. It was only on process. You know, if he had written to us and said, that complaint would not be going to the Title IX Coordinator.
- Q. You also were aware that he was being threatened with adverse employment actions by the graduate students and by his faculty colleagues, right?

 MS. QUIMBY: Objection, form.
- A. Yes. But that's not -- we didn't pay any attention to that. We actually ignored all of it.
- Q. I'm just trying to get a sense of how the ad hoc panel worked. So all of those -- all of those things which we've named -- First Amendment retaliation, violation of the academic freedom policy, the harassment of Timothy Jackson by calling for him to be fired, and so forth, all of that was not relevant to the panel, correct?
 - A. Yes, not relevant.
- A. That was our understanding of the five members of the panel.
 - MR. ALLEN: Okay, okay. It's about --

```
can we go off the record, please?
1
                    THE VIDEOGRAPHER:
                                      Off the record at
2
   12:25.
3
                      (Recess taken)
4
5
                    THE VIDEOGRAPHER:
                                       The time is 12:30.
   We're on the record.
6
7
                                              I just have one
             Thank you, Professor Ishiyama.
        Ο.
   last short series of questions. At least that
   is my intention, that they be short. I'm going to call
9
   your attention back to Exhibit 9, which was introduced
10
   into the record. Do you remember looking at the title
11
   page and table of contents of Volume 26 of Theoria from
12
   2020?
13
             Yes.
14
        Α.
             And don't let me mischaracterize your
15
        Q.
   testimony, but I believe you testified that there might
16
   be a representation somewhere in the journal of the
17
18
   methods of review of the articles or things of that
19
   nature, right?
                    MS. QUIMBY:
                                 Objection, form.
20
21
             Other than on the title page?
        Q.
             There might be. I do not know. I mean, there
22
        Α.
   should be something.
23
             So in the intervening time, we were able to
24
        Q.
   find the page where the review processes of the Journal
25
```

```
were discussed, and that's what I would like to ask you a
1
   few questions about.
2
             Okay.
3
        Α.
                               So I've taken the liberty of
                   MR. ALLEN:
4
   adding a third page to Exhibit 5. Hold on. I think I'm
5
   getting mixed up. I want to correct the record.
6
7
   believe I was referring to the past exhibit by its
   wrong identification number.
              I'm discussing Exhibit 5. Theoria, Volume 26,
9
   2020, for the record. I'm just skipping down.
10
   taken the liberty of adding the third page to this
11
12
   exhibit, which formerly had only two pages. And this is
   the appendix, page 157, which has the Theoria journal's
13
   Directions to Contributors. And I'm not asking you to
14
   verify that. I want your opinion as an expert and member
15
   of the ad hoc panel about this in the journal of Theoria.
16
             It represents that review articles of books
17
        Q.
   related to the history of music -- it refers to "review
18
19
   articles of books related to the history of music theory
   and analysis."
20
              Right?
21
             Yes.
22
        Α.
                   MS. QUIMBY:
                                 Objection, form.
23
24
             And panel -- panel presentations to a
        Q.
25
   conference that was simply published as expanded
```

```
articles in a journal would not count as review
1
   articles typically, right?
2
                                 Objection, form.
                    MS. QUIMBY:
3
             I think it would depend on how they defined it.
4
        Α.
             What is a review article typically understood
5
        Ο.
   as in a scholarly journal?
6
7
             Well, again, I can only speak to my field --
        Α.
             Sure.
8
        Q.
             -- and the field of the other five people on
9
   the committee. But review articles are often collections
10
   of books that are reviewed, often critically by an
11
12
   author, and to reveal the state of the art in the field.
             Right. And if we skip back to the title page,
13
        Q.
   which I'm going to -- just by the titles. And I know
14
   you are not a music theorist or a student of Russian
15
           But did these titles suggest to you as an
   music.
16
   experienced academic who's been an editor of political
17
18
   science journals and other academic publications, that
   these are review articles?
19
                    MS. QUIMBY:
                                Objection, form.
20
21
             I couldn't say until I read them, so it's
        Α.
   hard to determine just based on the title.
22
             So here, it also says, "All submissions will be
23
   peer reviewed for their scholarly quality, clarity, and
24
25
   originality. Only high level professional research
```

```
materials will be considered. Ph.D. candidates and
1
   junior faculty in the related disciplines are
2
   particularly encouraged to submit articles."
3
              Did I read that correctly?
4
             Yes.
5
        Α.
             Would that lead you to believe that Theoria,
6
        Ο.
7
   the other journal published in the College of Music under
   the umbrella of the University of North Texas Press,
   would subject all of its articles to peer review?
                    MS. QUIMBY:
                                 Objection, form.
10
             That would be -- that's the statement they
11
12
   make, so I don't know if they did.
              But they say, "All submissions will be peer
13
   reviewed."
14
             And that doesn't suggest that there's a
15
        Ο.
   separate kind of track for publishing papers that were
16
   expanded into articles after a professional conference,
17
   does it?
18
                                 Objection, form.
                    MS. QUIMBY:
19
             Well, so it suggests that those submissions
20
        Α.
   also be peer-reviewed.
21
             Okay. And would you understand the peer-review
22
        Q.
   process to be double-blind? The double-blind peer-review
23
   process we discussed earlier?
24
              It is the standard.
25
        Α.
```

```
MR. ALLEN:
                                 Okay. I'm going to pass the
1
   witness, Mary.
2
                    MS. QUIMBY: I'll reserve my questions
3
   for trial.
4
                                 Thank you, Professor
5
                    MR. ALLEN:
   Ishiyama.
6
7
                       (No deletions.)
                    THE VIDEOGRAPHER:
                                        Off the record at
8
   12:35.
9
                       (Proceedings concluded at 12:35 p.m.)
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
```

1	CHA	NGES AND SIGNATURE	
2	WITNESS: JOHN TOARU I	ISHIYAMA, Ph.D.	
3	DATE: SEPTEMBER 27, 2	2024	
4	PAGE/LINE	CHANGE	REASON
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25			

1	
2	
3	I, JOHN TOARU ISHIYAMA, Ph.D., have read the
4	foregoing deposition and hereby affix my signature that
5	same is true and correct, except as noted above.
6	
7	
8	TOUN TOADU TOUTVAMA DE D
9	JOHN TOARU ISHIYAMA, Ph.D.
10	THE STATE OF)
11	COUNTY OF)
12 13	Before me,, on this day personally appeared JOHN TOARU ISHIYAMA, Ph.D., known to me or proved to me on the oath of
14 15	or through (description of identity card or other document) to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same for the purpose and consideration therein expressed.
16	Given under my hand and seal of office on this
17	day of,
18	
19	
20	NOTARY PUBLIC IN AND FOR THE STATE OF
21	
22	My Commission Expires:
23	
24	
25	

```
UNITED STATES DISTRICT COURT
1
                   FOR THE EASTERN DISTRICT OF
                         SHERMAN DIVISION
2
   TIMOTHY JACKSON,
3
        Plaintiff.
4
                                   CASE NO. 4:21-CV-00033-ALM
5
   VS.
   LAURA WRIGHT, et al.,
6
        Defendants.
7
8
                  REPORTER'S CERTIFICATION OF
9
         ORAL DEPOSITION OF JOHN TOARU ISHIYAMA, Ph.D.
10
                        September 27, 2024
11
12
        I, KIM D. CARRELL, a Certified Shorthand Reporter
13
   in and for the State of Texas, hereby certify to the
14
   following:
15
        That the witness, JOHN TOARU ISHIYAMA, Ph.D., was
16
   duly sworn and that the transcript of the oral deposition
17
18
   is a true record of the testimony given by the witness;
19
        That the deposition transcript was duly submitted
   on October 28, 2024, to Ms. Mary Quimby, for examination,
20
21
   signature, and return to me by November 27, 2024;
        That pursuant to the information given to the
22
   deposition officer at the time said testimony was taken,
23
   the following includes all partes of record and the
24
25
   amount of time used by each party at the time of the
```

```
deposition;
 1
         Mr. Michael Thad Allen - 02 HRS: 47 MIN
 2
              Attorney for the Plaintiff
 3
         Ms. Mary Quimby - 00 HRS: 00 MIN
              Attorney for the Defendants
 4
         I further certify that I am neither counsel for,
 5
   related to, nor employed by any of the parties or
6
7
   attorneys in the action in which this proceeding was
   taken, and further that I am not financially or
   otherwise interested in the outcome of the action.
         Certified to by me on this 28th day of October,
10
   2024.
11
12
13
                            Kim D. Carrell, CSR NO. 1184
14
                            Date of Expiration: 7-31-26
15
                            JULIA WHALEY & ASSOCIATES, INC.
                            2012 Vista Crest Drive
16
                            Carrollton, Texas 75007-1640 214-668-5578/Fax 972-236-6666
17
                            Firm Registration No. 436
Certification Expires 10-31-26
18
                            Notary Comm. Expires 12-1-25
19
20
21
22
23
24
25
```

#	83:12, 102:21	2	27 [5] - 1:11, 5:3,	512.463.2120 [1] -	66:1, 69:5
п	1184 [1] - 132:14		129:3, 131:11,	2:10	ability [1] - 9:1
#2 [1] - 63:7	11:00 [1] - 72:3	2 [10] - 3:2, 3:12,	131:21	55 [1] - 45:12	able [8] - 22:18,
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•	11:48 [1] - 97:3	53:8, 53:14,	27th [6] - 1:17,	6	87:7, 96:18,
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'anonymous' [1] -	26:2, 37:12,	20 [2] - 3:14, 5:15	81:24, 106:8	6 [5] - 3:5, 3:20,	above-styled [1] -
69:6	48:14, 55:19,	2004 [4] - 17:20,	28 [1] - 131:20	49:24, 49:25,	1:16
'job [1] - 92:1	56:1, 56:4,	17:25, 18:1,	28th [1] - 132:10	50:2	aboveboard [1] -
'Journals [1] -	60:25, 79:19,	18:17	2:15 [1] - 83:19	63 [1] - 3:23	49:14
69:10	79:20, 90:25,	2008 [2] - 14:12,	2:24 [1] - 84:3	68 [1] - 3:25	Absolutely [1] -
	95:23, 96:10,	14:13	2:43 [1] - 84:1		122:19
0	97:16, 98:16,	2011 [1] - 18:12		7	absolutely [1] -
	98:18, 98:19,	2012 [5] - 15:12,	3		87:24
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